

Let  $C_{p-1} = \langle e_1, \dots, e_k \rangle$  and  $C_p = \langle f_1, \dots, f_m \rangle$ .

Then  $C^{p-1} = \langle \epsilon_1, \dots, \epsilon_k \rangle$  and  $C^p = \langle \phi_1, \dots, \phi_m \rangle$

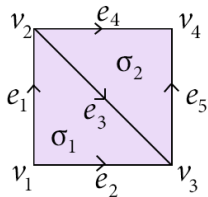
$$\text{where } \epsilon_i : C_{p-1} \rightarrow \mathbb{Z}_2, \quad \epsilon_i(e_j) = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$$

$$\text{and } \phi_i : C_{p-1} \rightarrow \mathbb{Z}_2, \quad \phi_i(f_j) = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$$

And  $\partial_p : C_p \rightarrow C_{p-1}$  and  $\delta_{p-1} : C^{p-1} \rightarrow C^p$  are defined by matrix  $M = (m_{ij})$  where

$$m_{ij} = \begin{cases} 1 & \text{if } e_j \in \partial(f_i) \\ 0 & \text{otherwise} \end{cases} \quad \text{and} \quad m_{ji} = \begin{cases} 1 & \text{if } e_j \in \partial(f_i) \\ 0 & \text{otherwise} \end{cases}$$

I.e.,  $\partial_p = M$  and  $\delta_{p-1} = M^T$ .



$$\partial_2 = \begin{pmatrix} 1 & 0 \\ 1 & 0 \\ 1 & 1 \\ 0 & 1 \\ 0 & 1 \end{pmatrix} \text{ and } \delta_1 = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$

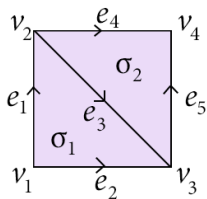
$$\delta(\epsilon_1)(\sigma_1) = \epsilon_3(\partial(\sigma_1)) = \epsilon_3(e_1 + e_2 + e_3) = 1 + 0 + 0 = 1$$

$$\delta(\epsilon_1)(\sigma_2) = \epsilon_3(\partial(\sigma_2)) = \epsilon_3(e_3 + e_4 + e_5) = 0. \text{ Thus } \delta(\epsilon_1) = \phi_1$$

$$\delta(\epsilon_3)(\sigma_1) = \epsilon_3(\partial(\sigma_1)) = \epsilon_3(e_1 + e_2 + e_3) = 0 + 0 + 1 = 1$$

$$\delta(\epsilon_3)(\sigma_2) = \epsilon_3(\partial(\sigma_2)) = \epsilon_3(e_3 + e_4 + e_5) = 1.$$

Thus  $\delta(\epsilon_1) = \phi_1 + \phi_2$



$$Z_p = \text{nul}(\partial_p), B_p = \text{im}(\partial_{p+1})$$

$$Z^p = \text{nul}(\delta_p), B^p = \text{im}(\delta^{p-1})$$

$$\partial_2 = \begin{pmatrix} 1 & 0 \\ 1 & 0 \\ 1 & 1 \\ 0 & 1 \\ 0 & 1 \end{pmatrix} \text{ and } \delta_1 = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$

$$\text{Thus } \text{rank}(B_{p-1}) = \text{rank}(\partial_p) = \text{rank}(\delta_{p-1}) = \text{rank}(B^p)$$

$$\text{rank}(Z_p) + \text{rank}(B_{p-1}) = \text{rank}(C_p) = \text{rank}(C^p) = \text{rank}(Z^p) + \text{rank}(B^{p+1})$$

$$\text{Hence } \text{rank}(Z_p) + \text{rank}(B^p) = \text{rank}(Z^p) + \text{rank}(B_p)$$

$$\text{rank}(H_p) = \text{rank}(Z_p) - \text{rank}(B^p) = \text{rank}(Z^p) + \text{rank}(B^p) = \text{rank}(H^p)$$

Given filtration  $K_1 \xrightarrow{f_1} K_2 \xrightarrow{f_2} \dots \xrightarrow{f_{n-1}} K_n$

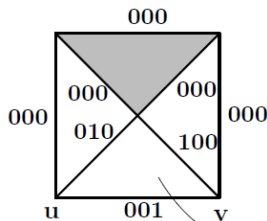
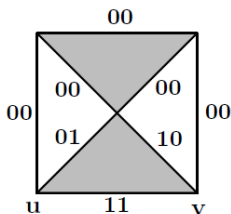
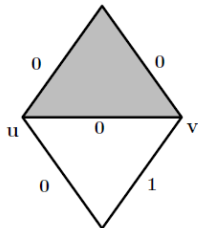
Persistence module  $H_*(K_1) \xrightarrow{f_1} H_*(K_2) \xrightarrow{f_2} \dots \xrightarrow{f_{n-1}} H_*(K_n)$

Dual module:  $H^*(K_1) \xleftarrow{f_1} H^*(K_2) \xleftarrow{f_2} \dots \xleftarrow{f_{n-1}} H^*(K_n)$

Defn: An **annotation** for  $K(p)$ , the set of  $p$ -simplices of a simplicial complex  $K$  is a map  $a : K(p) \rightarrow \mathbb{Z}_2^g$   
 Extend linearly to the set of  $p$ -chains.

Defn: An annotation is **valid** if

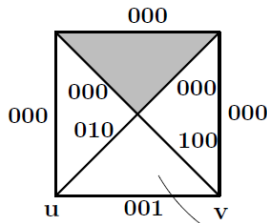
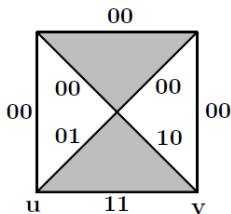
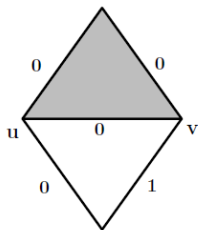
- 1.)  $a : K(p) \rightarrow H_p(K) = \mathbb{Z}_2^g$ . I.e.,  $g = \text{rank}(H_p(K))$
- 2.)  $a$  induces an isomorphism  $a' : H_p(K) \rightarrow H_p(K)$ .  
 I.e.  $z_1 \in [z_2] \in H_p(K)$  iff  $a(z_1) = a(z_2)$ .



Consider the cochain defined by  $\phi_i : K(p) \rightarrow \mathbb{Z}_2$ ,  $\phi_i(\sigma) = a_i(\sigma)$   
 $i = 1, \dots, g$

Prop: TFAE

- 1.) An annotation  $a : K(p) \rightarrow \mathbb{Z}_2^g$  is valid.
- 2.)  $\{[\phi_i]\}_{i=1, \dots, g}$  form a basis for  $H^p(K)$



Define cochains  $\phi_i : K(p) \rightarrow \mathbb{Z}_2$ ,  $\phi_i(\sigma) = a_i(\sigma)$ ,  $i = 1, \dots, g$

Prop: TFAE

1.) An annotation  $a : K(p) \rightarrow \mathbb{Z}_2^g$  is valid.

2.)  $\{[\phi_i]\}_{i=1, \dots, g}$  form a basis for  $H^p(K)$

Pf: (1)  $\Rightarrow$  (2): Suppose  $\tau$  is a  $p+1$ -simplex. Then  $[\partial(\tau)] = [0]$  in  $H_p$ .

$\delta_p(\phi_i(\tau)) = \phi_i(\partial(\tau)) = \phi_i(0) = 0$ . Thus  $\phi_i$  is a cocycle.

Let  $V$  be the vector space generated by  $\{[\phi_i]\}_{i=1, \dots, g}$

Let  $\{[z_i]\}_{i=1, \dots, g}$  be a basis for  $H_p(K)$ .

Define bilinear form:  $\alpha : V \times H_p(K) \rightarrow \mathbb{Z}_2$  by  $\alpha([\phi_i], [z_j]) = \phi_i(z_j)$

$[\phi_1(z_j), \dots, \phi_g(z_j)] = a(z_j)$ . Thus

$[\phi_1(z_j), \dots, \phi_g(z_j)] = [\phi_1(z_k), \dots, \phi_g(z_k)]$  iff  $[z_j] = [z_k]$

Hence  $g = \text{rank}(H^p) = \text{rank}(H_p) = \text{rank} \langle [\phi_i] \rangle$

Define cochains  $\phi_i : K(p) \rightarrow \mathbb{Z}_2$ ,  $\phi_i(\sigma) = a_i(\sigma)$ ,  $i = 1, \dots, g$

Prop: TFAE

1.) An annotation  $a : K(p) \rightarrow \mathbb{Z}_2^g$  is valid.

2.)  $\{[\phi_i]\}_{i=1, \dots, g}$  form a basis for  $H^p(K)$

Pf: (2)  $\Rightarrow$  (1): Let  $\{[\phi_i]\}_{i=1, \dots, g}$  be a basis for  $H^p(K)$ .

Let  $\{[z_i]\}_{i=1, \dots, g}$  be a basis for  $H_p(K)$ .

By the universal coefficient theorem:

$H^p(K) \cong \text{Hom}(H_p(K), \mathbb{Z}_2)$  by isomorphism sending

cocycle  $[\phi_i]$  where  $\phi_i : K(p) \rightarrow \mathbb{Z}_2$  to

homomorphism  $[z_i] \rightarrow \phi_i(z_i)$ .

Thus  $[\phi_1(z_j), \dots, \phi_g(z_j)] = [\phi_1(z_k), \dots, \phi_g(z_k)]$  iff  $[z_j] = [z_k]$

Let  $a = [\phi_1, \dots, \phi_g]$



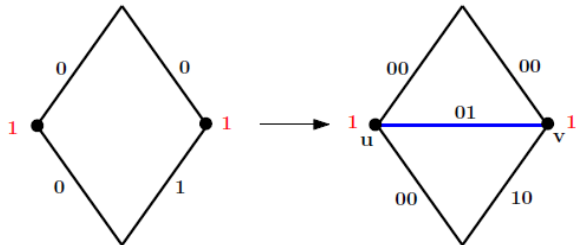
Add simplex,  $\sigma$ , via elementary inclusion.

Case 1:  $a(\partial(\sigma)) = 0$ . Then  $\sigma$  is a positive simplex.

At time  $i$ :  $a^i : K^i(p) \rightarrow \mathbb{Z}^g$

At time  $i + 1$ :  $a^{i+1} : K^{i+1}(p) \rightarrow \mathbb{Z}^{g+1}$

$$a^{i+1}(\sigma) = \begin{cases} (a(\sigma), 0) & \text{if } \sigma \in K^i(p) \\ (\mathbf{0}, 1) & \text{otherwise} \end{cases}$$



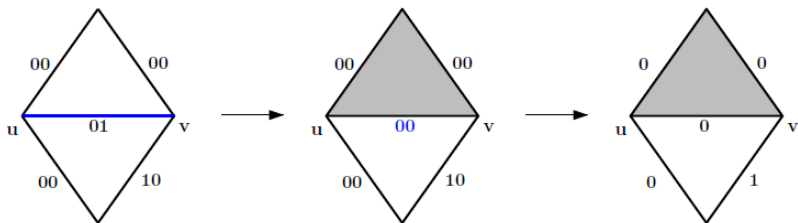
Add simplex,  $\sigma$ , via elementary inclusion.

Case 2:  $a(\partial(\sigma)) \neq 0$ . Then  $\sigma$  is a negative simplex.

At time  $i$ :  $a^i : K^i(p) \rightarrow \mathbb{Z}^g$

At time  $i + 1$ :  $a^{i+1} : K^{i+1}(p) \rightarrow \mathbb{Z}^{g-1}$

Force  $a^{i+1}(\partial\sigma) = \mathbf{0}$



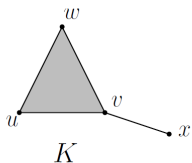
## Definition

Stars and Links of  $X \subseteq K$ :

$$\text{St}X := \{ \sigma \mid \sigma \text{ is a coface of a simplex in } X \}$$

$$\overline{\text{St}}X := \{ \text{all faces of simplices in } \text{St}X \}$$

$$\text{Lk}X := \overline{\text{St}}X - \text{St}X$$

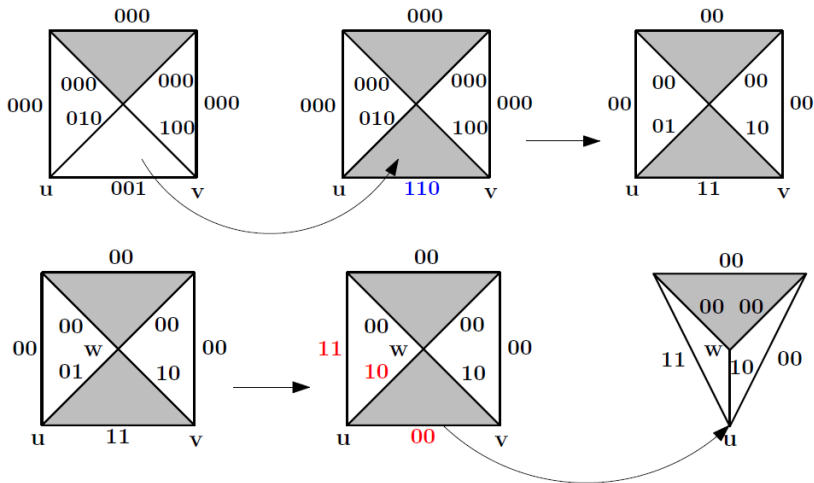


$$\overline{\text{St}}v = \{v, vw, vx, uv, uvw, u, w, x\}$$

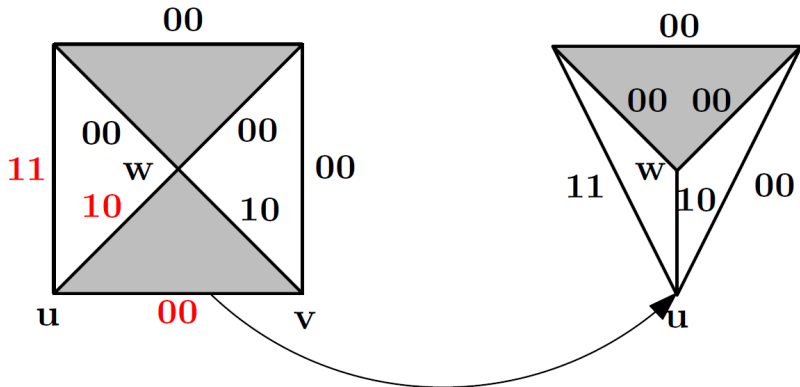
$$\text{Lk}v = \{u, w, x, uv\}, \text{Lk}uv = \{w\}$$

<http://web.cse.ohio-state.edu/dey.8/talk/simplicial-map/PersistenceForSimplicialMap.pdf>

A vertex pair  $(u, v)$  satisfies the link condition if the edge  $uv \in K$ ; and  $Lk(u) \cap Lk(v) = Lk(uv)$ .



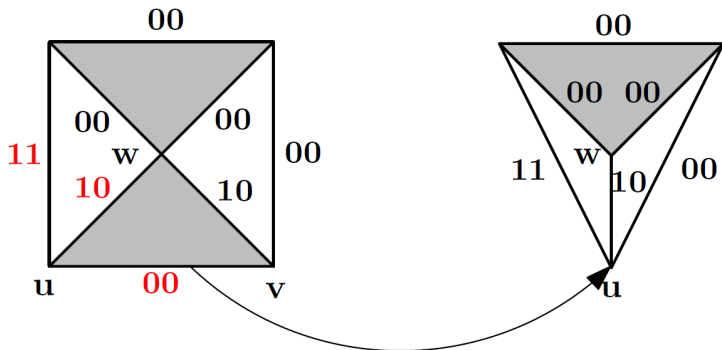
If an elementary collapse,  $f_i : K_i \rightarrow K_{i+1}$  satisfies the link condition, then  $K_i$  and  $K_{i+1}$  are homotopy equivalent.



For an elementary collapse  $f_i : K_i \rightarrow K_{i+1}$ :

A simplex  $\sigma \in K_i$  is called *vanishing* if  $|f_i(\sigma)| = |\sigma| - 1$ .

Two simplices are mirror pairs if one contains  $u$  and the other contains  $v$  and share the rest of the vertices.



Vanishing simplices:  $\{\{u, v\}, \{u, v, w\}\}$

Mirror pairs:  $\{\{u\}, \{v\}\}, \{\{u, w\}, \{v, w\}\}$

Let  $\{[\phi_i]\}_{i=1,\dots,g}$  be a basis for  $H^p(K)$ .

Let  $\sigma \in K(p)$  and let  $\tau$  be a  $(p-1)$ -dimensional face of  $\sigma$ .

Let  $T =$  set of cofaces of  $\tau$  of codimension 1.

Define  $\phi'_i(\sigma') = \begin{cases} \phi_i(\sigma') & \text{if } \sigma' \in K_i(p) - T \\ \phi_i(\sigma') + \phi_i(\sigma) & \text{if } \sigma' \in T \end{cases}$

