

**Theorem 2.2 (Havel-Hakimi):** Consider a list  $s = [d_1, d_2, \dots, d_n]$  of  $n$  numbers in descending (non-increasing) order. This list is graphic if and only if  $s^* = [d_1^*, d_2^*, \dots, d_{n-1}^*]$  of  $n - 1$  numbers is graphic as well, where

$$d_i^* = \begin{cases} d_{i+1} - 1 & \text{for } i = 1, 2, \dots, d_1 \\ d_{i+1} & \text{otherwise} \end{cases}$$

I.e.,  $s$  is graphic iff  $s^*$  is graphic.

**Proof:** Let  $s = [d_1, d_2, \dots, d_n]$  where  $d_i \geq d_{i+1}$ ,  $d_i \in \{0, 1, 2, \dots\}$

$$\text{Let } s^* = [d_1^*, d_2^*, \dots, d_{n-1}^*] \text{ where } d_i^* = \begin{cases} d_{i+1} - 1 & \text{for } i = 1, 2, \dots, d_1 \\ d_{i+1} & \text{otherwise} \end{cases}$$

Thus  $G = (V, E)$  has degree sequence  $s$ . Note  $G$  is a simple graph. Thus  $s$  is graphic.

$$\text{Thus } d_i = \begin{cases} d_1 & i = 1 \\ d_{i-1}^* + 1 & \text{for } i = 2, 3, \dots, d_1 + 1 \\ d_{i-1}^* & \text{otherwise} \end{cases}$$

( $\Leftarrow$ ) Suppose  $s^*$  is graphic.

Claim  $s$  is graphic.

$s^*$  graphic implies  $\exists$  simple graph  $G^*$  with degree sequence  $s^*$ .

$$\text{Let } G^* = (V^*, E^*) \text{ where } V^* = \{v_1, \dots, v_{n-1}\} \text{ and } \delta(v_i) = d_i^*.$$

$$\text{Let } V = \{u, v_1, \dots, v_{n-1}\} \\ \text{Let } E = E^* \cup \{\langle u, v_i \rangle \mid i = 1, \dots, d_1\}$$

$$\text{Then } \delta(w) = \begin{cases} d_1 & w = u \\ d_i^* + 1 & w = v_i, i = 1, \dots, d_1 \\ d_i^* & \text{else} \end{cases}$$

( $\Rightarrow$ ) Suppose  $s$  is graphic.

Claim  $s^*$  is graphic.

$s$  graphic implies  $\exists$  simple graph  $G = (V, E)$  with degree sequence  $s$ , where  $V = \{v_1, \dots, v_n\}$  where  $\delta(v_i) = d_i$ .

Let  $N(v_1) = \{w \in V \mid < v_1, w > \in E\}$

Let  $A_G = N(v_1) \cap \{v_2, \dots, v_{d_1+1}\}$

Note  $0 \leq |A_G| \leq d_1$ .

Among all simple graphs  $G$  with degree sequence  $s$ , choose one such that  $|A_G|$  is as large as possible.

Let  $G^* = G - v_1 = (V^*, E^*)$  where  $V^* = \{v_2, \dots, v_n\}$  and  $E^* = \{e \in E \mid e \text{ is not adjacent to } v_i\}$

Note  $G^*$  is a simple graph.

Case 1: Suppose  $|A_G| = d_1$ . Then  $v_1$  is adjacent to  $v_2, \dots, v_{d_1+1}$

Then  $G^*$  has degree sequence  $s^*$ . Thus  $s^*$  is graphic. ■

Case 2: Suppose  $|A_G| < d_1$ .

Then  $\exists v_j, j \in \{2, \dots, d_1+1\}$  such that  $v_1$  is not adjacent to  $v_j$  in  $G$  and  $\exists v_\ell, \ell > d_1+1$  such that  $v_1$  is adjacent to  $v_\ell$  in  $G$

Note  $j \leq d_1+1 < \ell$ . Thus  $\delta(v_j) \geq \delta(v_\ell)$ .

Case 2a: Suppose  $\delta(v_j) = \delta(v_\ell)$ .

Then relabel the vertices  $v_j$  and  $v_\ell$ . But by relabeling, we increase  $|A_G|$  which contradicts maximality, so case 2a cannot occur.

Case 2b: Suppose  $\delta(v_j) > \delta(v_\ell)$ .

Then  $\exists x \in V$  such that  $< v_j, x > \in E(G)$ , but  $< v_\ell, x > \notin E(G^*)$

Let  $G' = (V, E')$  where  $V(G') = V(G)$  and

$E' = [E(G) - \{< v_j, x >, < v_\ell, v_1 >\}] \cup \{< v_j, v_1 >, < v_\ell, x >\}$

Note  $G'$  is a simple graph with degree sequence  $s$ . But  $|A'_G| = |A_G| + 1$ , contradicting maximality, so case 2b cannot occur.

Thus case 1 holds and  $s^*$  is graphic.