## Some definitions:

If F is an orientable surface in orientable 3-manifold M, then F has a collar neighborhood  $F \times I \subset M$ . F has two sides. Can push F (or portion of F) in one direction.

M is prime if every separating sphere bounds a ball.

M is irreducible if every sphere bounds a ball. M irreducible iff M prime or  $M \cong S^2 \times S^1$ .

A disjoint union of 2-spheres, S, is independent if no component of M-S is homeomorphic to a punctured sphere ( $S^3$  -disjoint union of balls).

F is properly embedded in M if  $F \cap \partial M = \partial F$ .

Two surfaces  $F_1$  and  $F_2$  are parallel in M if they are disjoint and  $M - (F_1 \cup F_2)$  has a component X of the form  $\overline{X} = F_1 \times I$  and  $\partial \overline{X} = F_1 \cup F_2$ .

A compressing disk for surface F in  $M^3$  is a disk  $D \subset M$  such that  $D \cap F = \partial D$  and  $\partial D$  does not bound a disk in F ( $\partial D$  is essential in F).

Defn: A surface  $F^2 \subset M^3$  without  $S^2$  or  $D^2$  components is incompressible if for each disk  $D \subset M$  with  $D \cap F = \partial D$ , there exists a disk  $D' \subset F$  with  $\partial D = \partial D'$ 

Defn: A  $\partial$  compressing disk for surface F in  $M^3$  is a disk  $D \subset M$  such that  $\partial D = \alpha \cup \beta$ ,  $\alpha = D \cap F$ ,  $\beta = D \cap \partial M$  and  $\alpha$  is essential in F (i.e.,  $\alpha$  is not parallel to  $\partial F$  or equivalently  $\exists \gamma \subset \partial F$  such that  $\alpha \cup \gamma = \partial D'$  for some disk  $D' \subset F$ .

Defn: If F has a  $\partial$  compressing disk, then F is  $\partial$  compressible. If F does not have a  $\partial$  compressing disk, then F is  $\partial$  incompressible.

Defn: essential = incompressible and  $\partial$  incompressible.

Note: If M is irreducible with incompressible boundary, and if  $A \subset M$  is an incompressible annulus, then A is  $\partial$  parallel if and only if A is  $\partial$  compressible.

Defn: An irreducible manifold M is atoroidal if every incompressible torus in M is boundary parallel.

Defn: A torus decomposition of M is a finite disjoint union  $\mathcal{T}$  of incompressible tori contained in the interior of M s. t.

- 1.) Each component of  $M|\mathcal{T}$  is either atoroidal or a SFS.
- 2.)  $\mathcal{T}$  is minimal with respect to (1), i.e., no proper subcollection of tori in  $\mathcal{T}$  satisfies (1).

JSJ decomposition theorem: Every compact irreducible 3 manifold has a torus decomposition  $\mathcal{T}$  unique up to isotopy (note  $\mathcal{T}$  may be empty).

Proof of JSJ decomposition:

Finiteness:

Lemma: A closed surface F in a closed 3-manifold with triangulation T can be isotoped so that F is transverse to all simplices of T and for all 3-simplices  $\tau$ , each component of  $F \cap \partial \tau$  is of the form:

Defn: F is a normal surface with respect to T if

- 1.) F is transverse to all simplices of T.
- 2.) For all 3-simplices  $\tau$ , each component of  $F \cap \partial \tau$  is of the form:

- 3.) Each component of  $F \cap \tau$  is a disk.
- Lemma 3.5: (1.) If F is a disjoint union of independent 2-spheres then F can be taken to be normal.
- (2.) If F is a closed incompressible surface in a closed irreducible 3-manifold, then F can be taken to be normal.
- Thm 3.6 (Haken) Let M be a compact irreducible 3-manifold. If S is a closed incompressible surface in M and no two components of S are parallel, then S has a finite number of components.

Uniqueness or JSJ decomposition:

Let  $\mathcal{T}$  and  $\mathcal{T}'$  be two torus decompositions of M. Isotope  $\mathcal{T}'$  so that  $\mathcal{T}$  intersects  $\mathcal{T}$  transversely and so that  $|\mathcal{T} \cap \mathcal{T}'|$  is minimal.

Claim  $\mathcal{T} \cap \mathcal{T}' = \emptyset$ .

Proof: Suppose there exists  $T \in \mathcal{T}$  and  $T' \in \mathcal{T}'$  such that  $T \cap T' \neq \emptyset$ .

 $T \cap T' = \prod$  essential s.c.c.

Let  $\gamma$  be a component of  $T \cap T'$ .

Let  $M_1, M_2$  be the two components of  $M|\mathcal{T}$  that meet T (note if T non-separating, then  $M_1 = M_2$ ).

Let  $A_1, A_2$  be the annuli components of  $T' | \mathcal{T}$  that meet  $\gamma$  with  $A_1 \subset M_1, A_2 \subset M_2$ .

T' incompressible in M implies  $A_i$  incompressible in  $M_i$ .

If  $A_i$  boundary parallel in  $M_i$ , then can isotop T' to reduce  $|\mathcal{T} \cap \mathcal{T}'|$ , contradicting the minimality of  $|\mathcal{T} \cap \mathcal{T}'|$ .

Hence  $A_i$  is not boundary parallel in  $M_i$  and thus is  $\partial$  incompressible in  $M_i$ . Therefore  $A_i$  is essential in  $M_i$ 

By hypothesis  $M_i$  is atoroidal or SFS.

Lemma 1.16: If  $M_i$  is compact, connected, orientable, irreducible, atoroidal, and contains an essential annulus meeting only torus components of  $\partial M_i$ , then  $M_i$  is a SFS.

Hence  $M_i$  is SFS.

Lemma 1.11 (Waldhausen) F incompressible and  $\partial$  incompressible  $\subset M$  connected, compact, irreducible SFS implies F isotopic to vertical ( = union of regular fibers =  $p^{-1}(scc)$ = torus or Klein bottle or  $p^{-1}(arc)$  = annulus) or horizontal surface (= surface transverse to all fibers)

Lemma 1.14: An incompressible and  $\partial$  incompressible annulus in a compact SFS can be isotoped to be vertical, after possible changing the Seifert fibering.

Hence, can assume  $A_i$  vertical in  $M_i$ 

Hence  $\gamma$  is a fiber in the Seifert fibration of  $M_i$ . Therefore  $M_1 \cup_T M_2$  is SFS.

Hence can discard T from T contradicting the minimality of T.

Hence  $\mathcal{T} \cap \mathcal{T}' = \emptyset$ .

If  $\mathcal{T} = \emptyset$ , then  $\mathcal{T}' = \emptyset$ .

So assume  $\mathcal{T} \cap \mathcal{T}' = \emptyset$  and  $\mathcal{T}, \mathcal{T}' \neq \emptyset$ .

Suppose there exists  $T \in \mathcal{T}$  such that T is boundary parallel in  $M|\mathcal{T}'$ . Then there exists  $T' \in \mathcal{T}'$  such that T is parallel to T'. Hence can isotope T to T'. T - T and T' - T' are torus decompositions of M - T. Continue removing tori while there exist boundary parallel tori.

Suppose there exists a T which is not boundary parallel in  $M|\mathcal{T}'$ .

Let Q be a component of  $M|\mathcal{T} \cup \mathcal{T}'$ . such that  $T \subset Q$  and  $Q \cap \mathcal{T}' \neq \emptyset$ .

Let  $M'_1$  be the component of  $M|\mathcal{T}'$  containing Q.

Since T is not boundary parallel in  $M|\mathcal{T}'$ , T is not boundary parallel in  $M'_1$ .

Hence T is incompressible and not boundary parallel in  $M'_1$ .

Thus  $M'_1$  is not atoroidal. Hence  $M'_1$  is a SFS.

Lemma 1.15' If M is SFS with  $|\partial M| \geq 2$  and  $M \neq T^2 \times I$ , then if  $\phi$  and  $\phi'$  are any two Seifert fiberings on M, then  $\phi_{\partial M} = \phi'_{\partial M}$ .