

## 7.1: Sequences

**Arithmetic sequence:**  $h_0, h_0 + q, h_0 + 2q, \dots$

$$h_n = h_{n-1} + q = h_0 + nq, n \geq 0$$

Example:  $h_n = 3 + 5n$ :                      3, 8, 13, 18, 23, 28, ...

**Geometric sequence:**  $h_0, qh_0, q^2h_0, \dots$

$$h_n = qh_{n-1} = q^n h_0, n \geq 0$$

Example:  $h_n = 2^n$ :                      1, 2, 4, 8, 16, 32, 62, 128, 256, 512, ...

$h_n = 2^n =$  number of combinations of an  $n$ -element set.

**Partial sums:**  $s_n = \sum_{k=0}^n h_k$

Partial sums of arithmetic sequence:

$$s_n = \sum_{k=0}^n h_0 + kq = \sum_{k=0}^n h_0 + \sum_{k=0}^n kq = (n+1)h_0 + \frac{qn(n+1)}{2}$$

Example: If  $h_k = 3 + 5k$ , then  $s_n = \sum_{k=0}^n h_k = (n+1)3 + \frac{5n(n+1)}{2}$

3, 11, 24, 42, 65, 93, ....

Geometric sequence:  $s_n = \sum_{k=0}^n q^k h_0 = \begin{cases} \frac{q^{n+1}-1}{q-1} h_0 & q \neq 1 \\ (n+1)h_0 & q = 1 \end{cases}$

Example: If  $h_k = 2^k$ , then  $s_n = \sum_{k=0}^n h_k = \frac{2^{n+1}-1}{2-1}$

1, 3, 7, 15, 31, 63, ....

## Fibonacci:

Suppose a pair of rabbits of the opposite sex give birth to a pair of rabbits of opposite sex every month starting with their second month. If we begin with a pair of newly born rabbits, how many rabbits are there after one year.

Let  $f_n = \#$  of pairs of rabbits at the beginning of month  $n$

$$f_0 = \quad f_1 = \quad f_2 = \quad f_3 = \quad f_4 = \quad f_5 =$$

Hence  $f_n =$

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Lemma:  $s_n = \sum_{k=0}^n f_k = f_{n+2} - 1$

Proof by induction on  $n$ .

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Lemma:  $f_n$  is even iff  $3|n$ .

Proof by induction on  $n$ .

Note that  $f_0 = 0$  is even,  $f_1 = 1$  is odd, and  $f_2 = 1$  is odd.

Suppose  $f_{3n}$  is even,  $f_{3n+1}$  is odd, and  $f_{3n+2}$  is odd.

Then  $f_{3n+3} = f_{3n+2} + f_{3n+1}$ . Since odd + odd is even,  
 $f_{3n+3}$  is even.

Then  $f_{3n+4} = f_{3n+3} + f_{3n+2}$ . Since even + odd is odd,  
 $f_{3n+4}$  is odd.

Then  $f_{3n+5} = f_{3n+4} + f_{3n+3}$ . Since odd + even is odd,  
 $f_{3n+5}$  is odd.

Thm 7.1.2:  $f_n = \sum_{k=0}^{n-1} \binom{n-1-k}{k}$

Proof: Check if  $g(n) = \sum_{k=0}^{n-1} \binom{n-1-k}{k}$

satisfies  $g(n) = g(n-1) + g(n-2)$  and  $g(1) = 1$  and  $g(2) = 1$

$$g(1) = \sum_{k=0}^{1-1} \binom{1-1-k}{k} = \sum_{k=0}^0 \binom{-k}{k} = \binom{0}{0} = 1$$

$$g(2) = \sum_{k=0}^{2-1} \binom{2-1-k}{k} = \sum_{k=0}^1 \binom{1-k}{k} = \binom{1}{0} + \binom{0}{1} = 1 + 0 = 1$$

$$g(n-1) + g(n-2)$$

$$= \sum_{k=0}^{n-1-1} \binom{n-1-1-k}{k} + \sum_{k=0}^{n-2-1} \binom{n-2-1-k}{k}$$

$$= \sum_{k=0}^{n-2} \binom{n-2-k}{k} + \sum_{k=0}^{n-3} \binom{n-3-k}{k}$$

$$= \sum_{k=0}^{n-2} \binom{n-2-k}{k} + \sum_{k=1}^{n-2} \binom{n-3-(k-1)}{k-1}$$

$$= \binom{n-2}{0} + \sum_{k=1}^{n-2} \binom{n-2-k}{k} + \sum_{k=1}^{n-2} \binom{n-3-(k-1)}{k-1}$$

$$= \binom{n-2}{0} + \sum_{k=1}^{n-2} \binom{n-2-k}{k} + \sum_{k=1}^{n-2} \binom{n-2-k}{k-1}$$

$$\begin{aligned}
&= \binom{n-2}{0} + \sum_{k=1}^{n-2} \left[ \binom{n-2-k}{k} + \binom{n-2-k}{k-1} \right] \\
&= \binom{n-2}{0} + \sum_{k=1}^{n-2} \binom{n-1-k}{k} \\
&= \binom{n-2}{0} + \sum_{k=0}^{n-1} \binom{n-1-k}{k} - \binom{n-1}{0} - \binom{0}{n-1} \\
&= 1 + \sum_{k=0}^{n-1} \binom{n-1-k}{k} - 1 - 0 \\
&= \sum_{k=0}^{n-1} \binom{n-1-k}{k}
\end{aligned}$$

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Fibonacci sequence is defined by

Homogeneous linear recurrence relation:  $f_n - f_{n-1} - f_{n-2} = 0$

and initial conditions:  $f(0) = 0, f(1) = 1$ .

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Thm 7.1.1:  $f_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$

Proof: Suppose  $f_n = x^n$ . Then  $f_{n-1} = x^{n-1}$  and  $f_{n-2} = x^{n-2}$

Then  $0 = f_n - f_{n-1} - f_{n-2} = x^n - x^{n-1} - x^{n-2}$

Thus  $x^{n-2}(x^2 - x - 1) = 0$ .

Thus either  $x = 0$  or  $x = \frac{1 \pm \sqrt{1-4(1)(-1)}}{2} = \frac{1 \pm \sqrt{5}}{2}$

Thus  $f_n = 0$ ,  $f_n = \left(\frac{1+\sqrt{5}}{2}\right)^n$  and  $f_n = \left(\frac{1-\sqrt{5}}{2}\right)^n$

are 3 different sequences that satisfy the

homogeneous linear recurrence relation:  $f_n - f_{n-1} - f_{n-2} = 0$ .

Hence  $f_n = c_1 \left(\frac{1+\sqrt{5}}{2}\right)^n + c_2 \left(\frac{1-\sqrt{5}}{2}\right)^n$  also satisfies the

homogeneous linear recurrence relation:  $f_n - f_{n-1} - f_{n-2} = 0$ .

Suppose the initial conditions are  $f_0 = a$  and  $f_1 = b$

(note for fibonacci sequence,  $a = 0$  and  $b = 1$ ).

Then for  $n = 0$ :  $f_0 = c_1 + c_2 = a$

And for  $n = 1$ :  $f_1 = c_1 \left(\frac{1+\sqrt{5}}{2}\right) + c_2 \left(\frac{1-\sqrt{5}}{2}\right) = b$

Or in matrix form: 
$$\begin{pmatrix} 1 & 1 \\ \frac{1+\sqrt{5}}{2} & \frac{1-\sqrt{5}}{2} \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} a \\ b \end{pmatrix}$$

$$\begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} \frac{1-\sqrt{5}}{-2\sqrt{5}} & \frac{1}{\sqrt{5}} \\ \frac{1+\sqrt{5}}{2\sqrt{5}} & -\frac{1}{\sqrt{5}} \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} \frac{1-\sqrt{5}}{-2\sqrt{5}}a + \frac{b}{\sqrt{5}} \\ \frac{1+\sqrt{5}}{2\sqrt{5}}a - \frac{b}{\sqrt{5}} \end{pmatrix}$$

If  $a = 0$  and  $b = 1$ , then 
$$\begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} \frac{1}{\sqrt{5}} \\ -\frac{1}{\sqrt{5}} \end{pmatrix}$$

## 5.6

$$\frac{1}{(1-x)^n} = \sum_{k=0}^{\infty} \binom{-n}{k} (-x)^k = \sum_{k=0}^{\infty} \binom{n+k-1}{k} x^k, \quad |x| < 1$$

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$$\frac{1}{1-x} = 1 + x + x^2 + x^3 + \dots$$

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$$\frac{x^{n+1}-1}{x-1} = 1 + x + x^2 + x^3 + \dots + x^n$$

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## 7.2: Generating Functions

$g(x) = h_0 + h_1x + h_2x^2 + \dots$  is the *generating function* for the sequence  $h_0, h_1, h_2, \dots$ .

Ex: The generating fn for the sequence 2, 3, 4, 0, 0, 0, ... is

$$g(x) = 2 + 3x + 4x^2$$

Ex: The generating function for the sequence 1, 1, 1, ... is

$$g(x) = 1 + x + x^2 + \dots = \frac{1}{1-x}$$

Ex: The generating function for the sequence

0, 0, 0, 0, 1, 0, 0, 1, 0, 0, 1, 0, 0, ... is

$$g(x) = x^4 + x^7 + x^{10} + \dots = x^4(1 + x^3 + x^6 + \dots) = \frac{x^4}{1-x^3}$$

Ex: The generating function for the sequence

$\binom{m}{0}, \binom{m}{1}, \binom{m}{2}, \dots, \binom{m}{m}$  is

$$g(x) = \binom{m}{0} + \binom{m}{1}x + \binom{m}{2}x^2 + \dots + \binom{m}{m}x^m = (1+x)^m$$

Ex: Suppose  $\alpha \in \mathcal{R}$ . The generating function for the sequence

$\binom{\alpha}{0}, \binom{\alpha}{1}, \binom{\alpha}{2}, \dots$  is

$$g(x) = \binom{\alpha}{0} + \binom{\alpha}{1}x + \binom{\alpha}{2}x^2 + \dots = (1+x)^\alpha$$

Ex: Let  $h_n =$  number of nonnegative solutions to

$$e_1 + e_2 + \dots + e_k = n$$

Thus  $h_n =$

Thus  $g(x) =$

Suppose a multiset of size  $k$  must contain the following:

between two to four (inclusive)  $x$ 's,  
zero, one, two or five  $y$ 's.

Find the number of multisets of size  $k$ .

**“Long” method: list all possibilities**

between two to four (inclusive)  $x$ 's:  $x^2 + x^3 + x^4$

zero, one, two or five  $y$ 's:  $y^0 + y^1 + y^2 + y^5$

Both:  $(x^2 + x^3 + x^4)(y^0 + y^1 + y^2 + y^5)$

$$= x^2y^0 + x^2y^1 + x^2y^2 + x^2y^5 + x^3y^0 + x^3y^1 + x^3y^2 + x^3y^5 \\ + x^4y^0 + x^4y^1 + x^4y^2 + x^4y^5$$

$$= x^2y^0 + (x^2y^1 + x^3y^0) + (x^2y^2 + x^3y^1 + x^4y^0) \\ + (x^3y^2 + x^4y^1) + x^4y^2 + x^2y^5 + x^3y^5 + x^4y^5$$

Let  $h_k =$  number of multisets of size  $k$ .

$$h_0 = \quad , h_1 = \quad , h_2 = \quad , h_3 = \quad , h_4 = \quad , \\ h_5 = \quad , h_6 = \quad , h_7 = \quad , h_8 = \quad , h_9 = \quad , \\ h_k = \quad k > 9$$

**“Shorter” method:**

between two to four (inclusive)  $x$ 's:  $x^2 + x^3 + x^4$

zero, one, two or five  $y$ 's:  $x^0 + x^1 + x^2 + x^5$

Both:  $g(x) = (x^2 + x^3 + x^4)(x^0 + x^1 + x^2 + x^5)$

$$= x^2x^0 + (x^2x^1 + x^3x^0) + (x^2x^2 + x^3x^1 + x^4x^0) \\ + (x^3x^2 + x^4x^1) + x^4x^2 + x^2x^5 + x^3x^5 + x^4x^5$$

$$= x^2 + 2x^3 + 3x^4 + 2x^5 + x^6 + x^7 + x^8 + x^9$$



Suppose a multiset consisting of integers between 0 and 5 inclusive of size  $k$  must contain the following:

even number of 0's:  $x^0 + x^2 + x^4 + \dots = \frac{1}{1-x^2}$

odd number of 1's:  $x^1 + x^3 + x^5 + \dots = \frac{x}{1-x^2}$

three or four 2's:  $x^3 + x^4 = x^3(1+x)$

the number of 3's is a multiple of five:  $x^0 + x^5 + x^{10} + \dots = \frac{1}{1-x^5}$

btwn zero to four (inclusive) 4's:  $x^0 + x^1 + x^2 + x^3 + x^4 = \frac{1-x^5}{1-x}$

zero or one 5:  $x^0 + x^1 = 1+x$

$$g(x) = (x^0 + x^2 + x^4 + \dots)(x^1 + x^3 + x^5 + \dots)(x^3 + x^4) \\ (x^0 + x^5 + x^{10} + \dots)(x^0 + x^1 + x^2 + x^3 + x^4)(x^0 + x)$$

$$= \left(\frac{1}{1-x^2}\right) \left(\frac{x}{1-x^2}\right) x^3(1+x) \left(\frac{1}{1-x^5}\right) \left(\frac{1-x^5}{1-x}\right) (1+x)$$

$$= \frac{x^4}{(1-x)^3} = x^4 \sum_{k=0}^{\infty} \binom{3+k-1}{k} x^k = \sum_{k=0}^{\infty} \frac{(k+2)(k+1)}{2} x^{k+4}$$

Find the number of multisets of size  $n$ .

Find the number of multisets of size 100.

Determine the generating function for  $h_n =$  the number of ways to make  $n$  cents using pennies, nickels, dimes, and quarters.

Note  $h_n =$  the number of nonnegative integral solutions to

$$e_1 + 5e_2 + 10e_3 + 25e_4 = n$$

Let  $f_1 = e_1, f_2 = 5e_2, f_3 = 10e_3, f_4 = 25e_4,$

Then  $h_n =$  the number of nonnegative integral solutions to  
 $f_1 + f_2 + f_3 + f_4 = n$

where  $f_1$  is a nonnegative integer

$f_2$  is a multiple of 5

$f_3$  is a multiple of 10

$f_4$  is a multiple of 25

Hence the generating function for  $h_n$  is