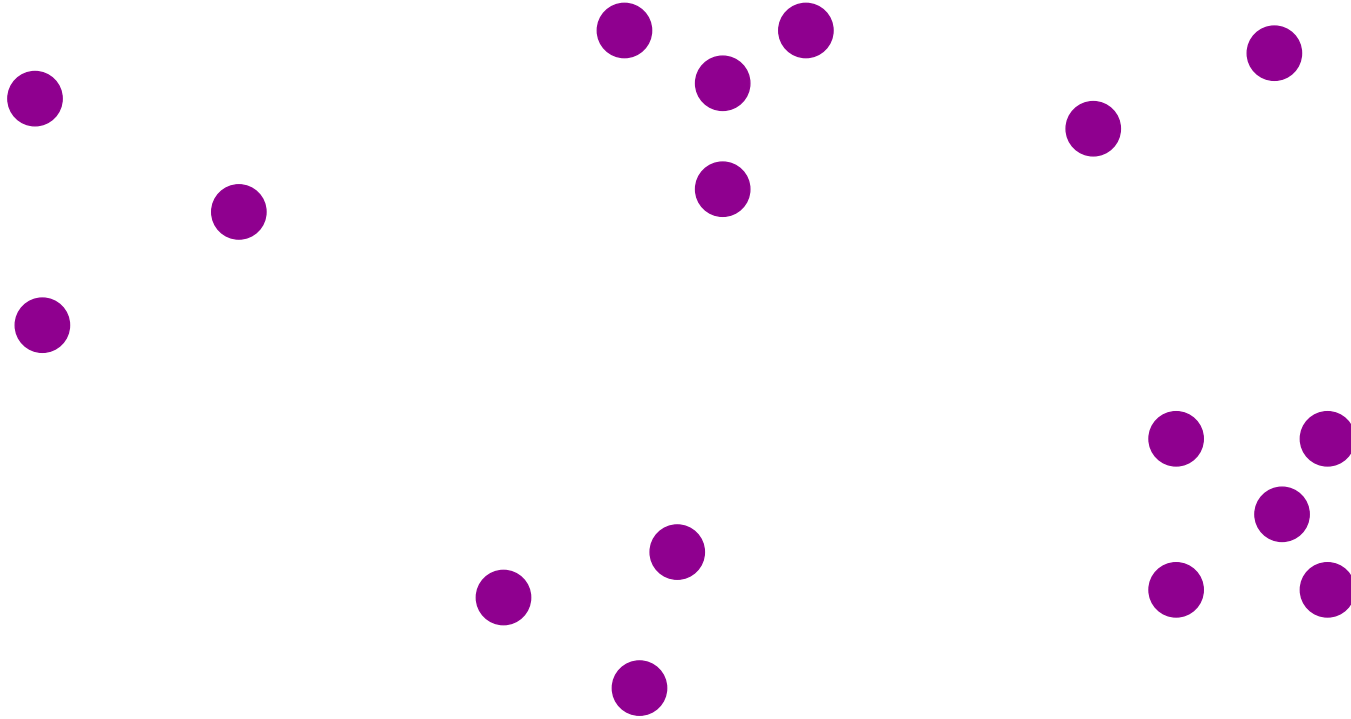


# MATH:7450 (22M:305) Topics in Topology: Scientific and Engineering Applications of Algebraic Topology

Sept 4, 2013

## Clustering Via Persistent Homology

# Creating a simplicial complex



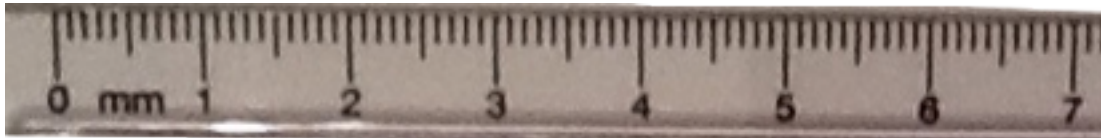
Step 0.) Start by adding 0-dimensional vertices  
(0-simplices)

# Creating a simplicial complex



1.) Adding 1-dimensional edges (1-simplices)

Add an edge between data points that are “close”



# Creating a simplicial complex



1.) Adding 1-dimensional edges (1-simplices)

Let  $T = \text{Threshold}$

Connect vertices  $v$  and  $w$  with an edge iff  
the distance between  $v$  and  $w$  is less than  $T$

# Creating a simplicial complex

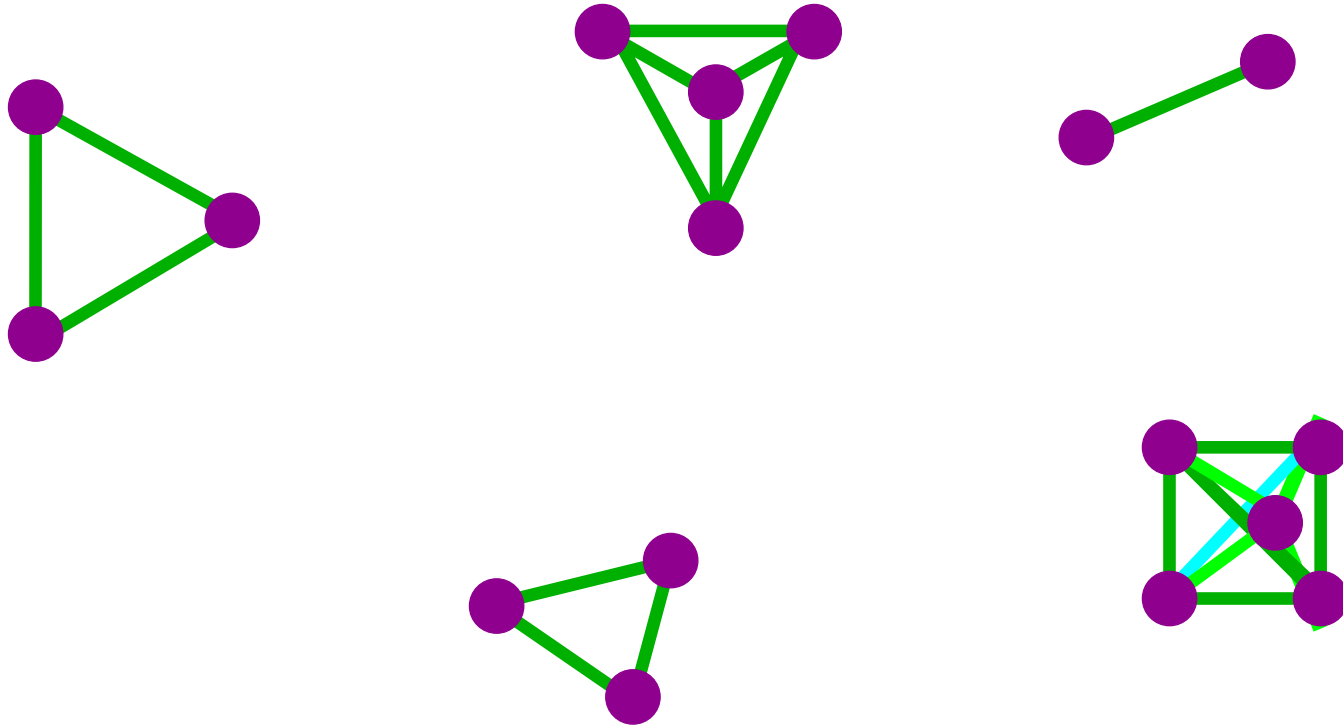


1.) Adding 1-dimensional edges (1-simplices)

Let  $T = \text{Threshold} =$  

Connect vertices  $v$  and  $w$  with an edge iff the distance between  $v$  and  $w$  is less than  $T$

# Creating a simplicial complex

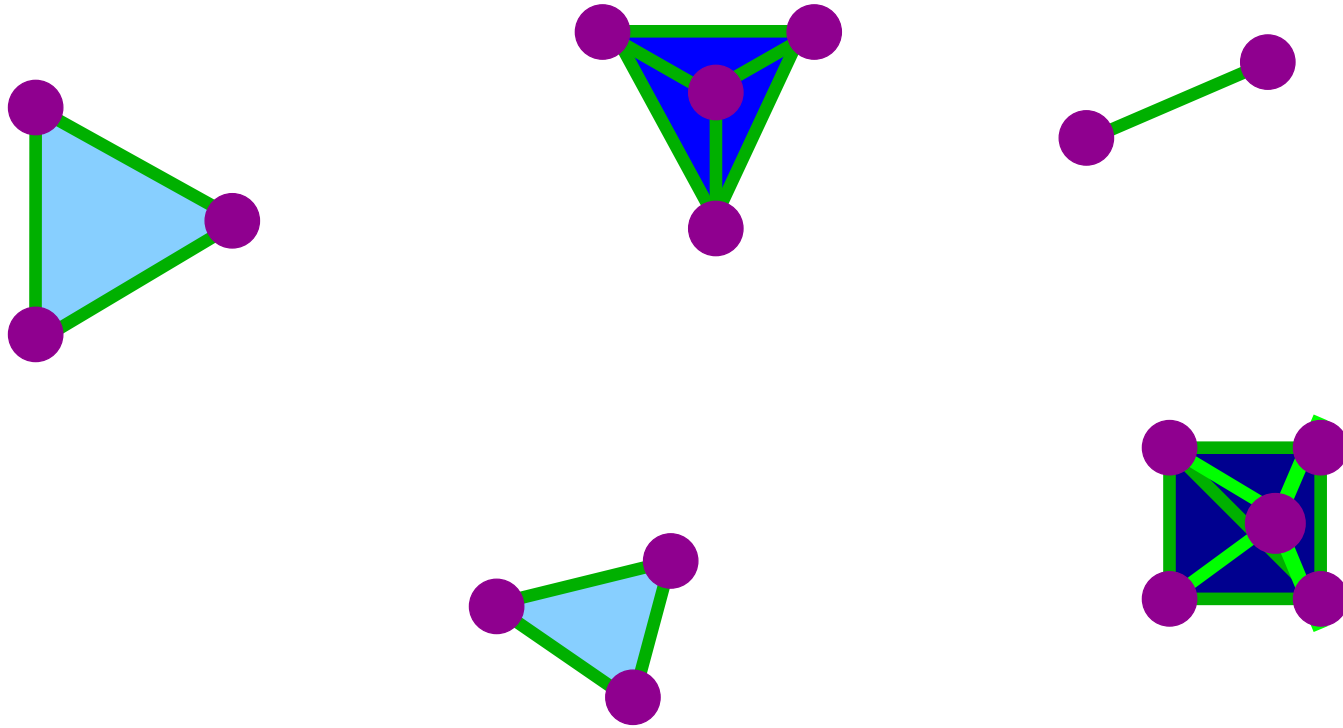


1.) Adding 1-dimensional edges (1-simplices)

Add an edge between data points that are “close”

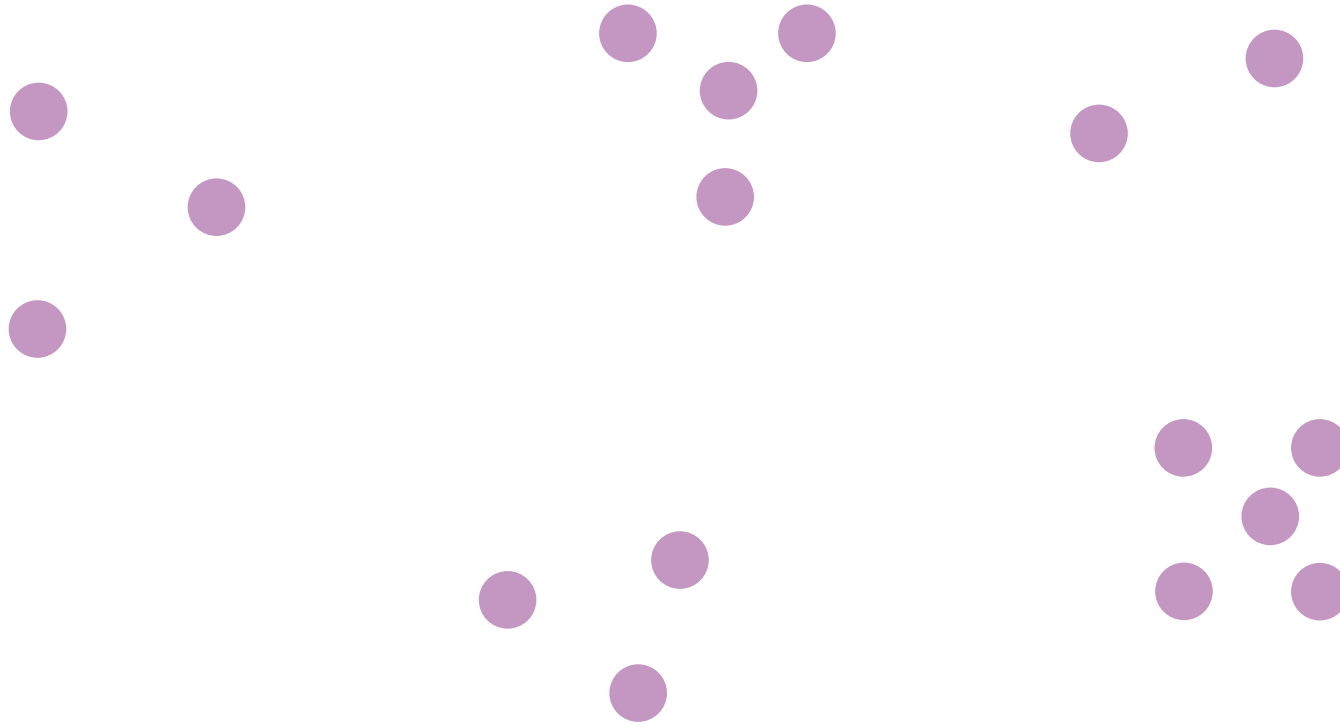
Note: we only need a definition of closeness between data points. The data points do not need to be actual points in  $\mathbb{R}^n$

# Creating the Vietoris Rips simplicial complex



2.) Add all possible simplices of dimension  $> 1$ .

# Creating the Vietoris Rips simplicial complex

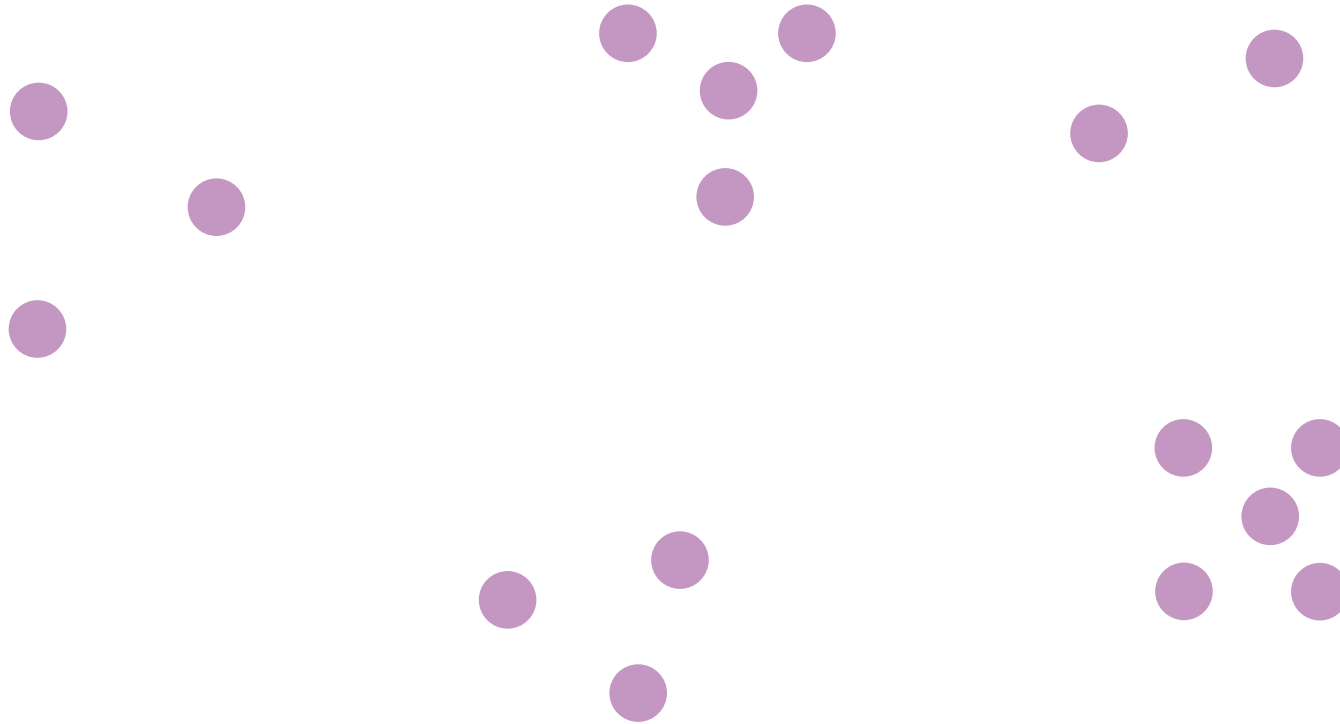


0.) Start by adding 0-dimensional data points

Use balls to measure distance (Threshold = diameter).  
Two points are close if their corresponding balls intersect



# Creating the Vietoris Rips simplicial complex



0.) Start by adding 0-dimensional data points

Use balls of varying radii to determine persistence of clusters.

H. Edelsbrunner, D. Letscher, and A. Zomorodian, Topological persistence and simplication, Discrete and Computational Geometry 28, 2002, 511-533.

# Discriminative persistent homology of brain networks, 2011

[Hyekyoung Lee](#) [Chung, M.K.](#); [Hyejin Kang](#); [Bung-Nyun Kim](#); [Dong Soo Lee](#)

Constructing functional brain networks with 97 regions of interest (ROIs) extracted from FDG-PET data for 24 attention-deficit hyperactivity disorder (ADHD), 26 autism spectrum disorder (ASD) and 11 pediatric control (PedCon).

Data = measurement  $f_j$   
taken at region  $j$

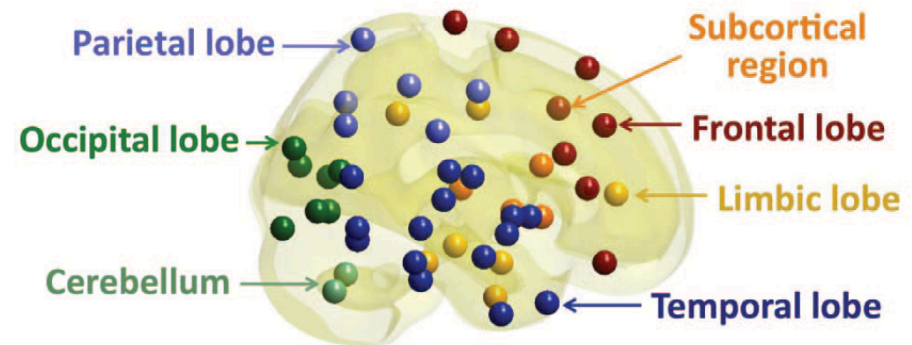
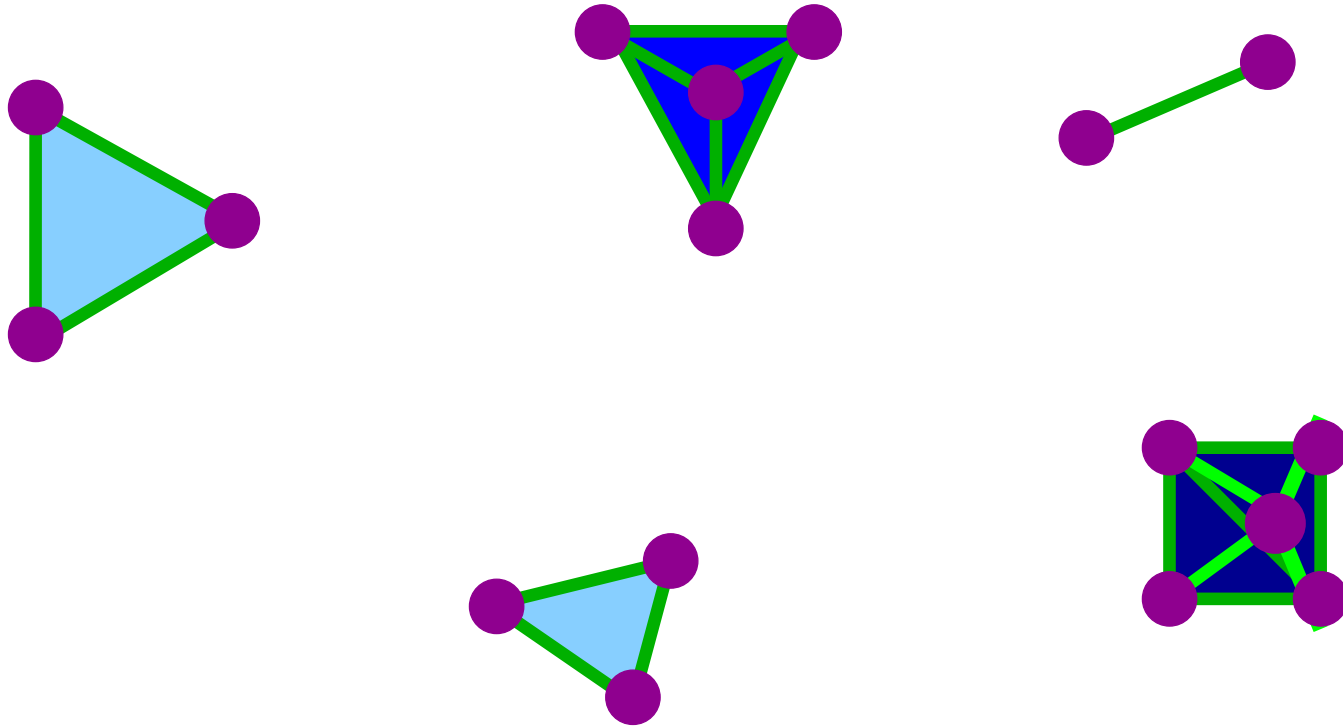


Fig. 3. Location of ROIs

Graph: 97 vertices representing 97 regions of interest  
edge exists between two vertices  $i, j$  if correlation  
between  $f_i$  and  $f_j \geq \text{threshold}$

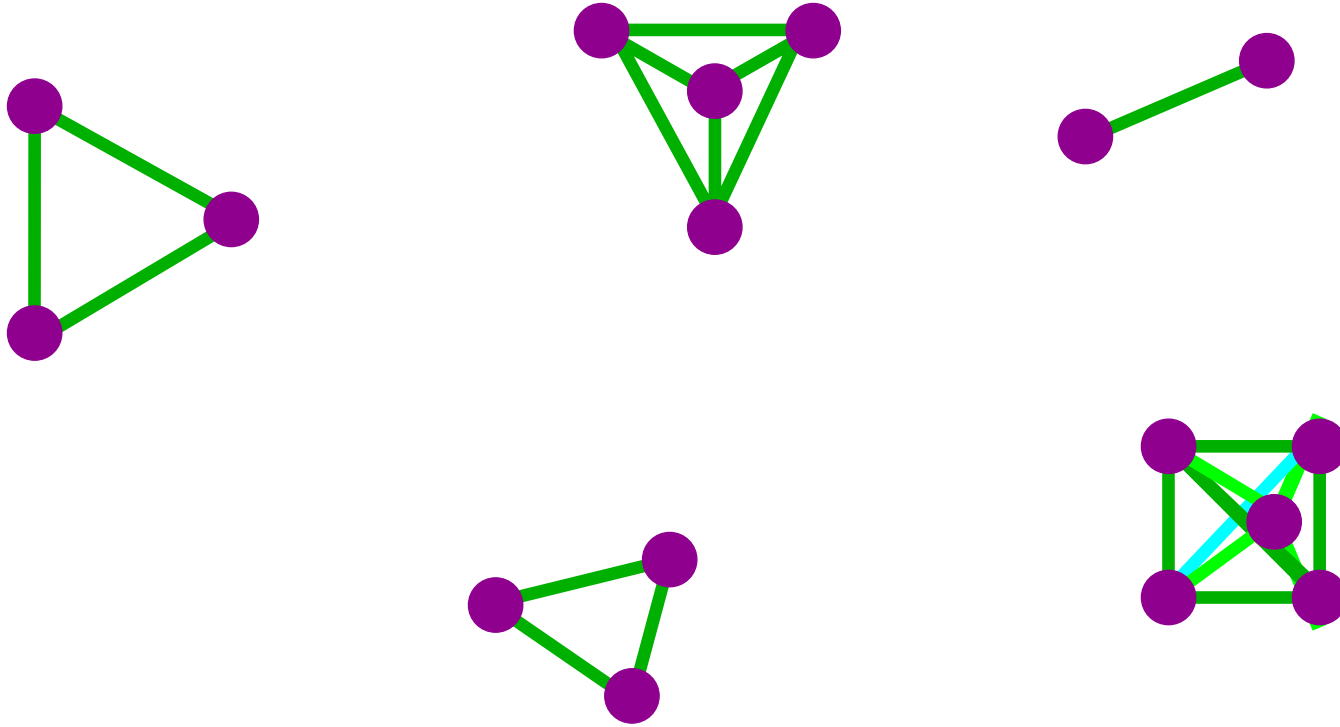
How to choose the threshold? Don't, instead use persistent homology

# Creating the Vietoris Rips simplicial complex

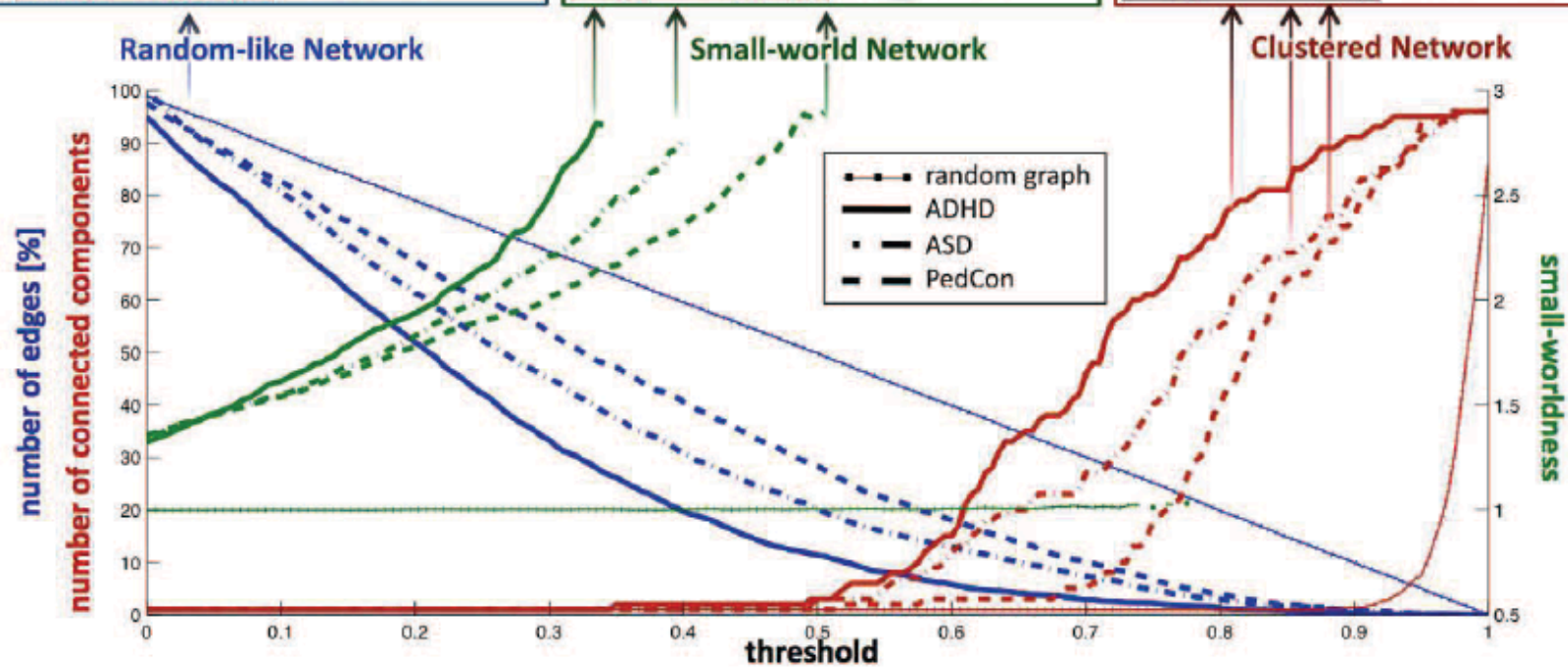
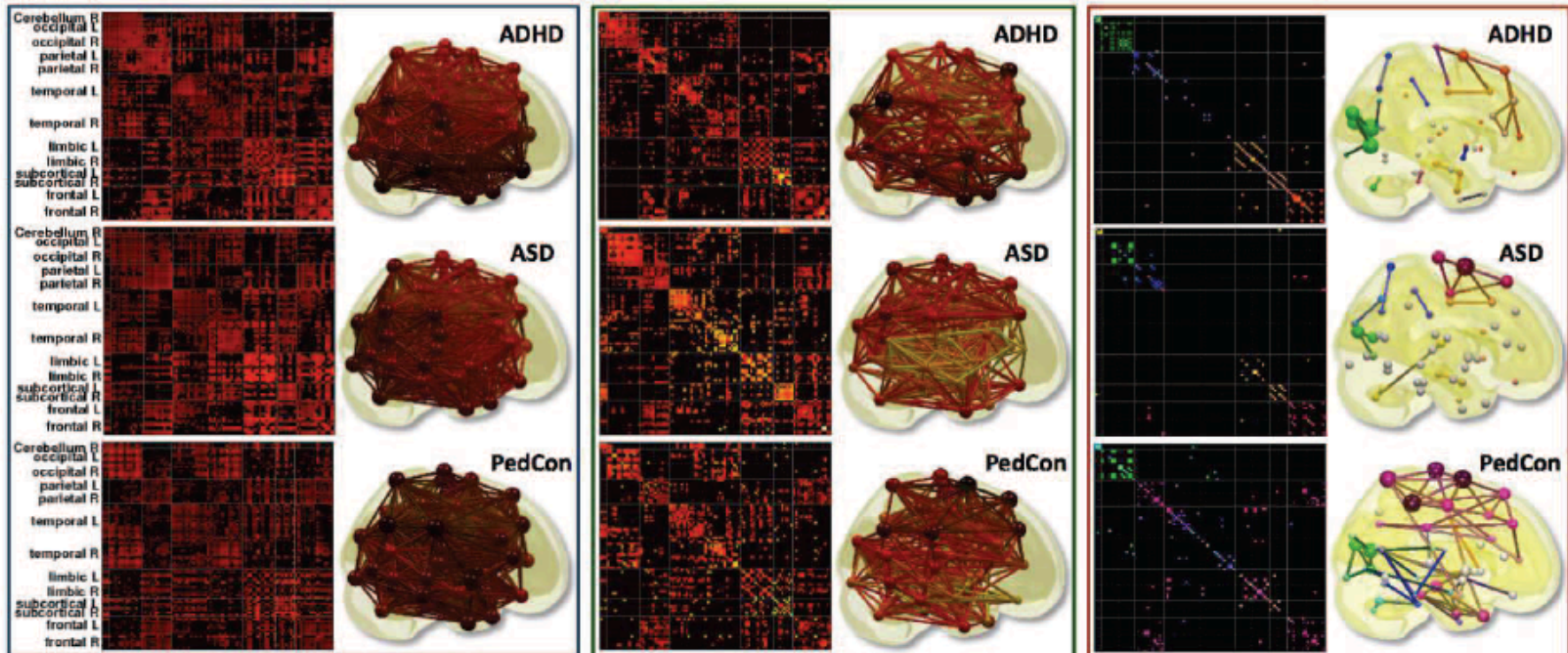


Note to determine clusters, only need vertices and edges (but we will use higher dimensional simplices Friday to look at holes in data).

# Creating a simplicial complex



Note to determine clusters, only need vertices and edges.

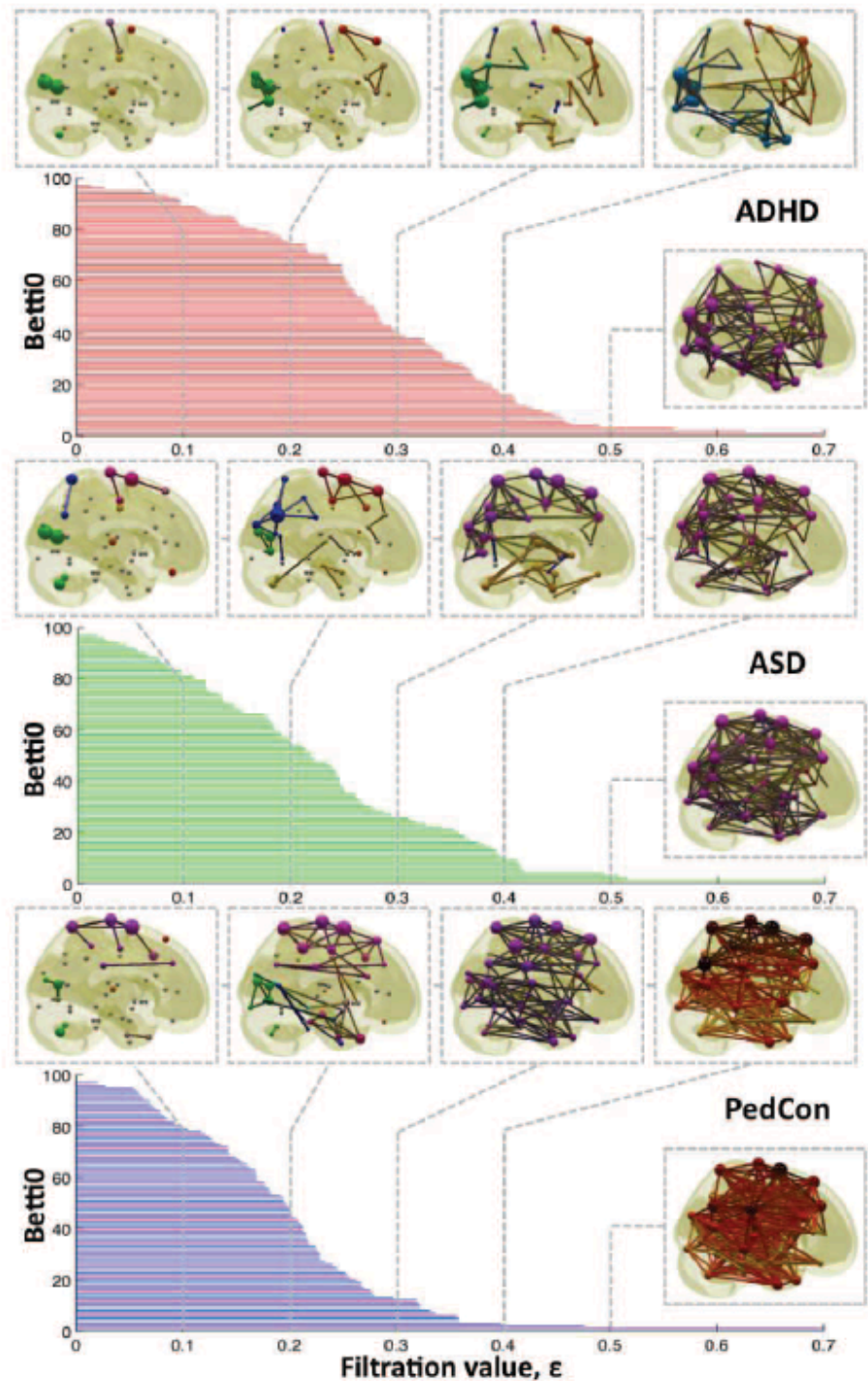


Vertices = Regions of Interest

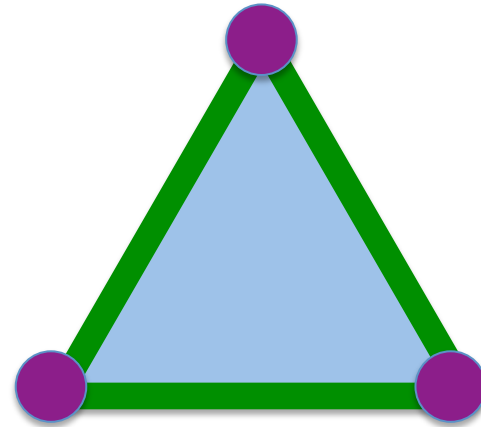
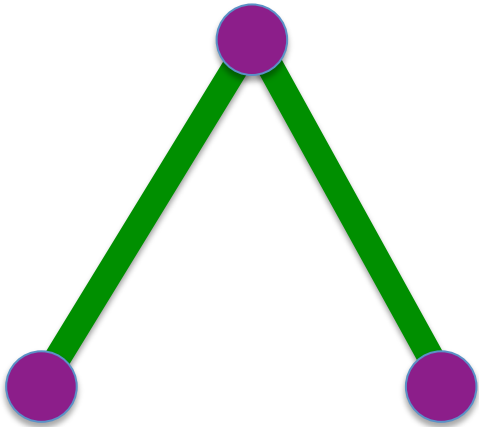
Create Rips complex by growing epsilon balls (i.e. decreasing threshold) where distance between two vertices is given by

$$d(\mathbf{f}_i, \mathbf{f}_j) = 1 - \text{corr}(\mathbf{f}_i, \mathbf{f}_j)$$

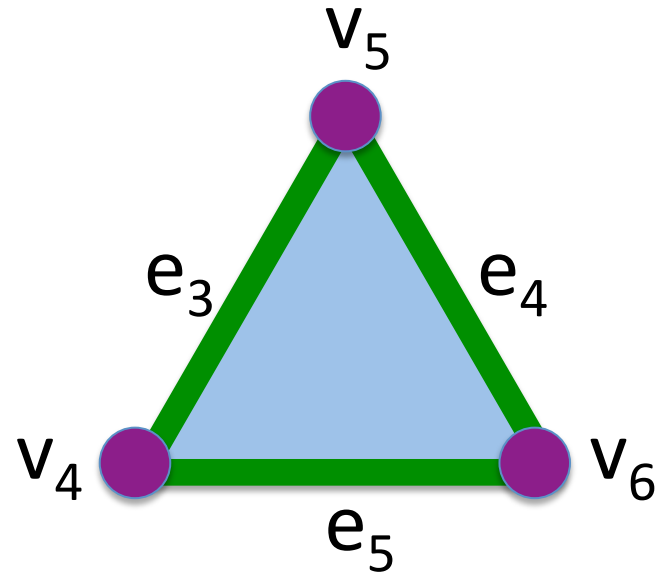
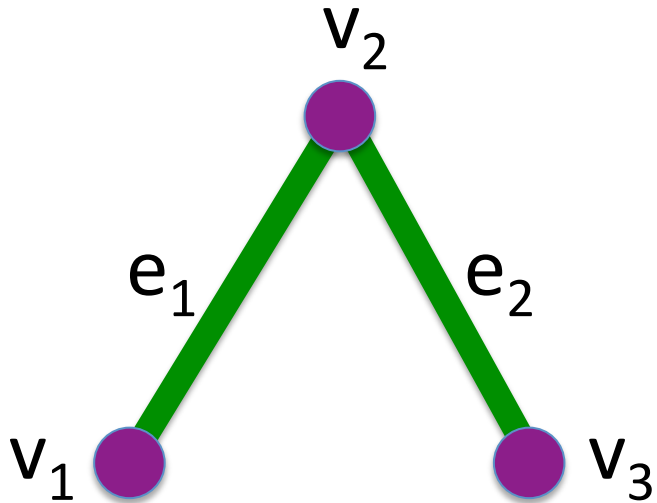
where  $\mathbf{f}_i =$   
measurement at  
location  $i$



How many connected components?



# Counting number of connected components using homology

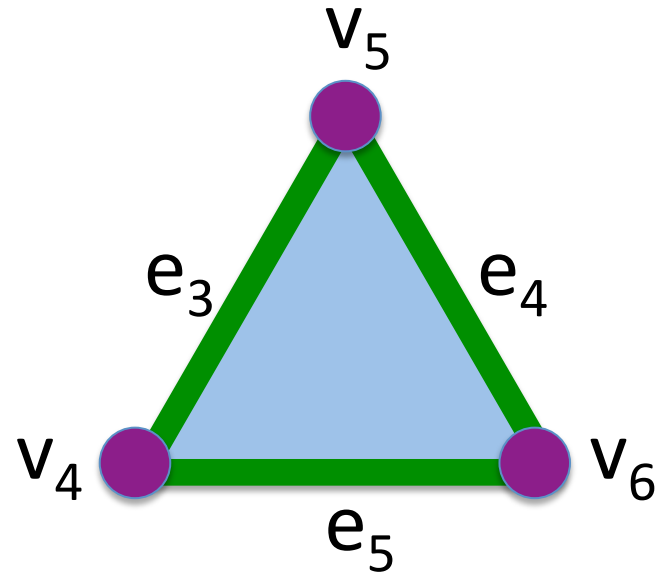
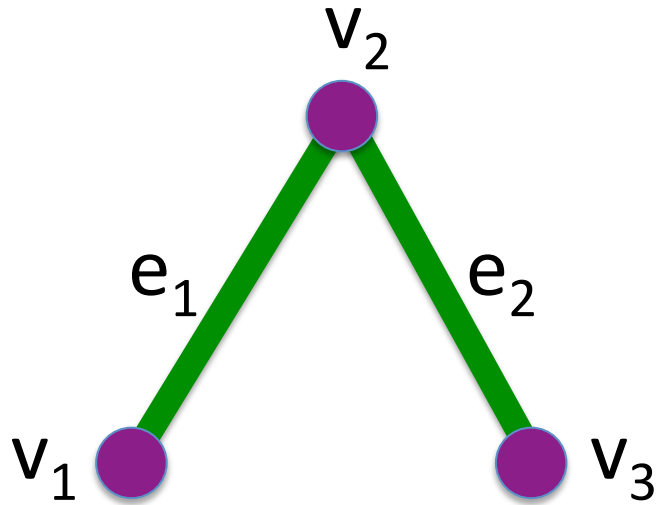


$$C_0 = \mathbf{Z}_2[v_1, v_2, v_3, v_4, v_5, v_6] = \text{set of 0-chains}$$

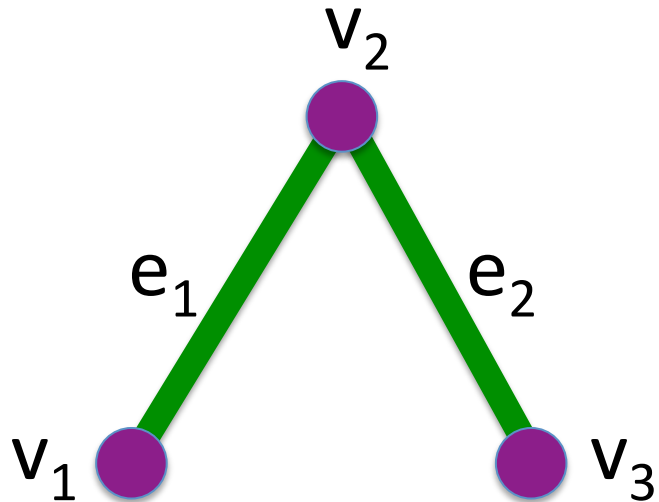
$$C_1 = \mathbf{Z}_2[e_1, e_2, e_3, e_4, e_5] = \text{set of 1-chains}$$



# Counting number of connected components using homology



# Counting number of connected components using homology



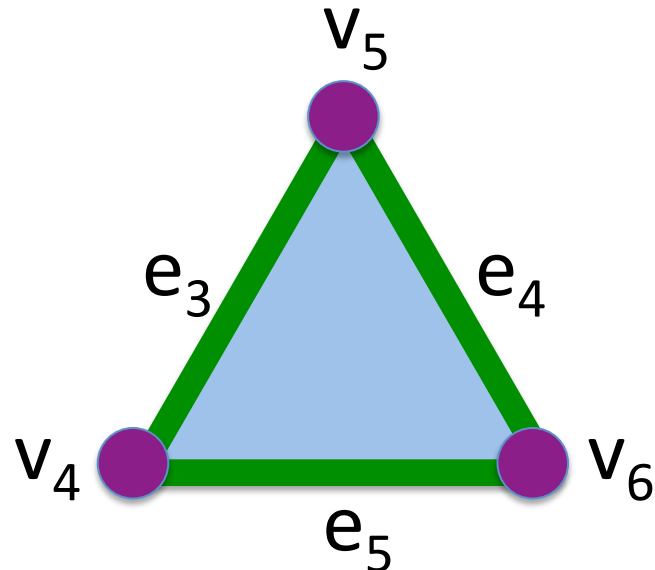
$$\partial(e_1) = v_1 + v_2$$

$$\partial(e_2) = v_2 + v_3$$

$$\partial(e_3) = v_4 + v_5$$

$$\partial(e_4) = v_5 + v_6$$

$$\partial(e_5) = v_4 + v_6$$

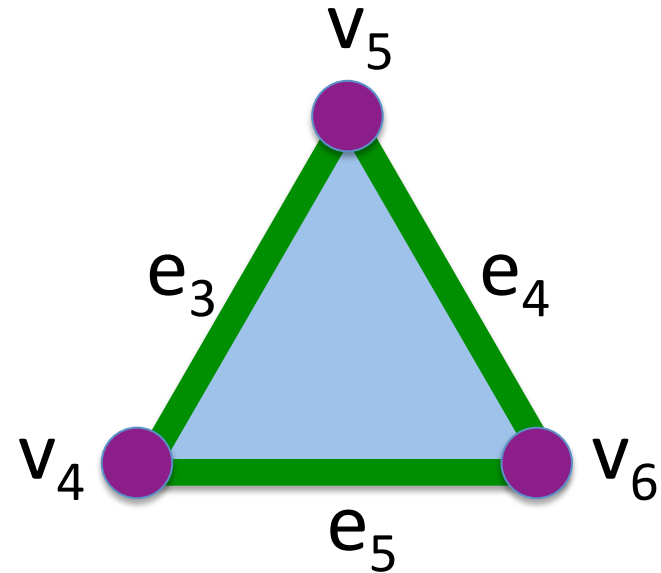
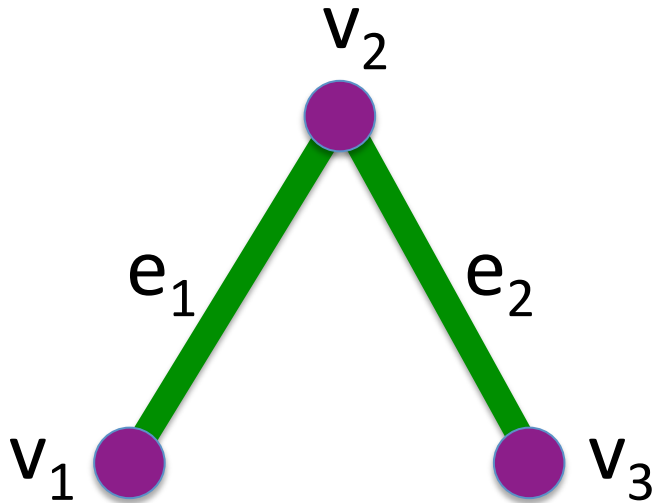


Components are

$\{v_1, v_2, v_3\}$  and

$\{v_4, v_5, v_6\}$

# Counting number of connected components using homology

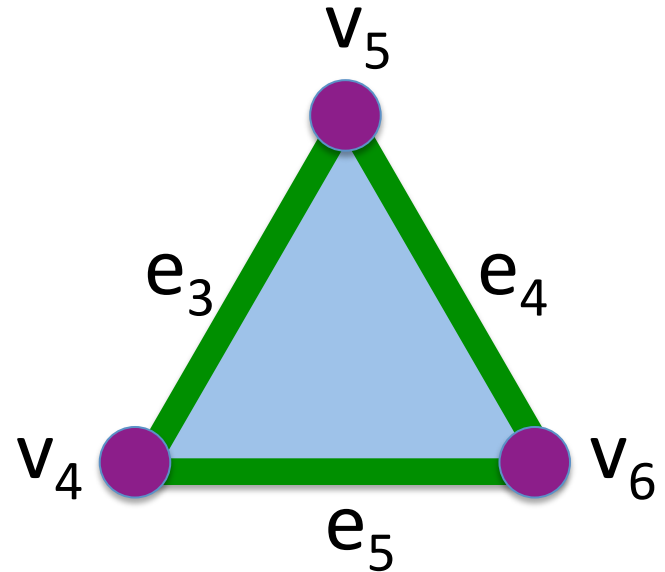
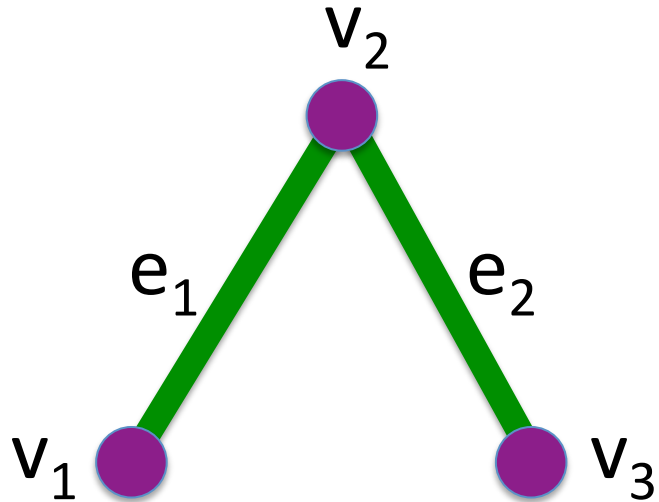


$$C_0 = \mathbf{Z}_2[v_1, v_2, v_3, v_4, v_5, v_6] = \text{set of 0-chains}$$

$$C_1 = \mathbf{Z}_2[e_1, e_2, e_3, e_4, e_5] = \text{set of 1-chains}$$

$$\partial: C_1 \rightarrow C_0$$

# Counting number of connected components using homology



$$\partial: C_1 \rightarrow C_0$$

$$\partial(e_1) = v_1 + v_2$$

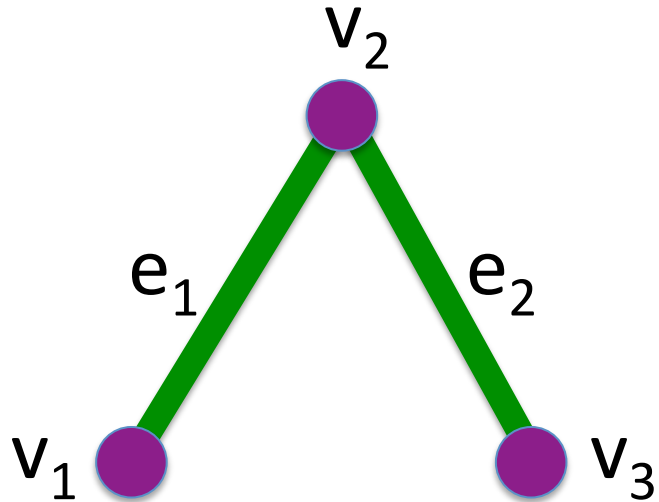
$$\partial(e_2) = v_2 + v_3$$

$$\partial(e_3) = v_4 + v_5$$

$$\partial(e_4) = v_5 + v_6$$

$$\partial(e_5) = v_4 + v_6$$

# Counting number of connected components using homology



$$\partial: C_1 \rightarrow C_0$$

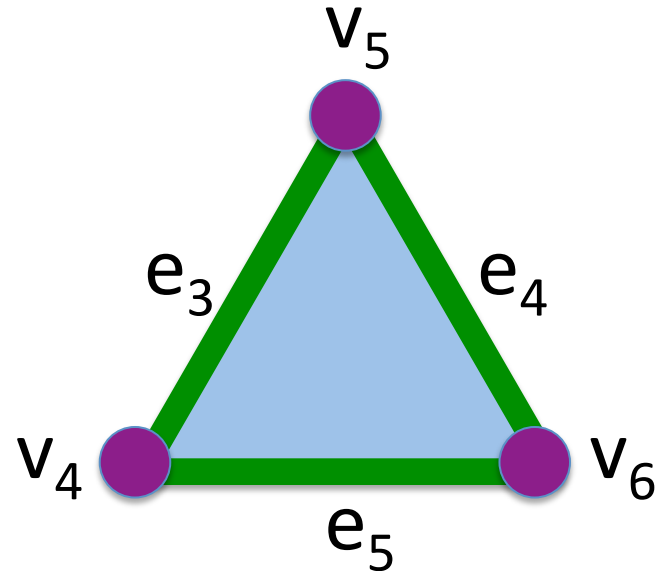
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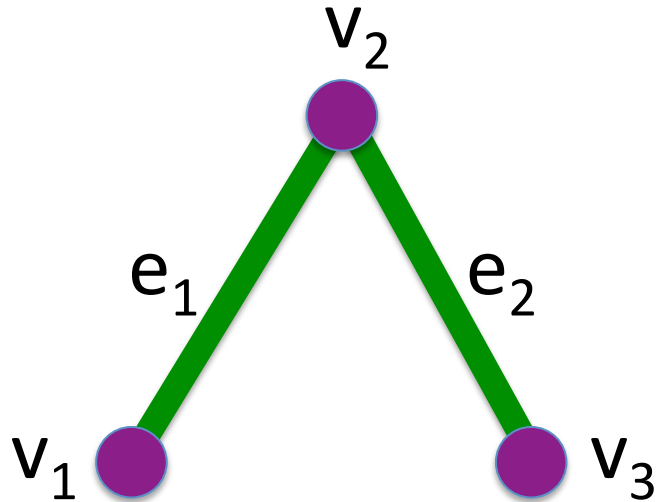
$$\partial(e_4) = v_5 + v_6$$

$$\partial(e_5) = v_4 + v_6$$



Extend linearly:

# Counting number of connected components using homology



$$\partial: C_1 \rightarrow C_0$$

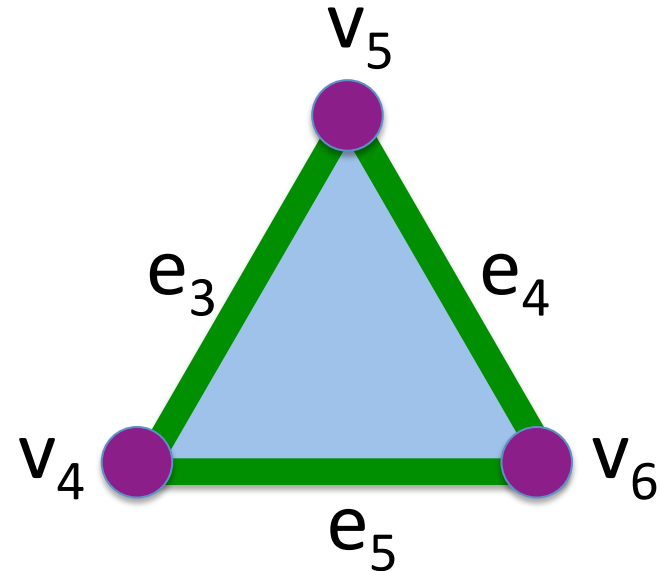
$$\partial(e_1) = v_1 + v_2$$

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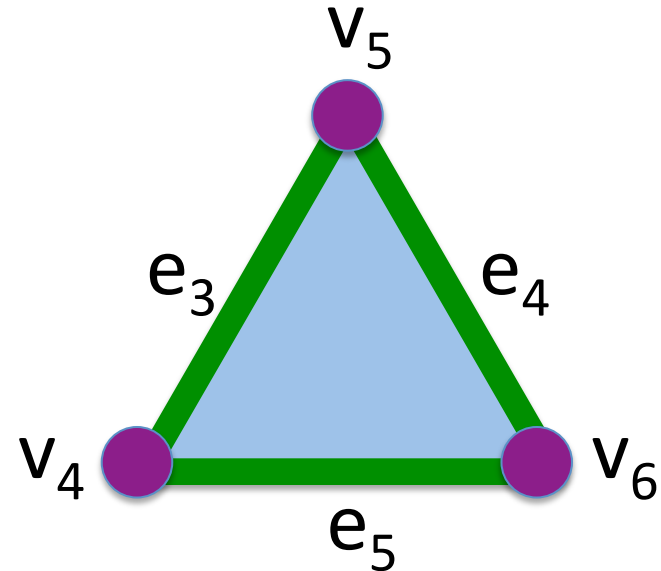
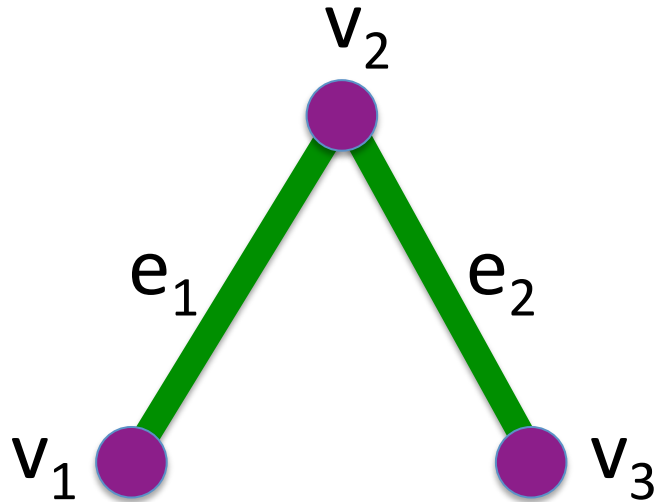
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Extend linearly:

# Counting number of connected components using homology



$$\partial: C_1 \rightarrow C_0$$

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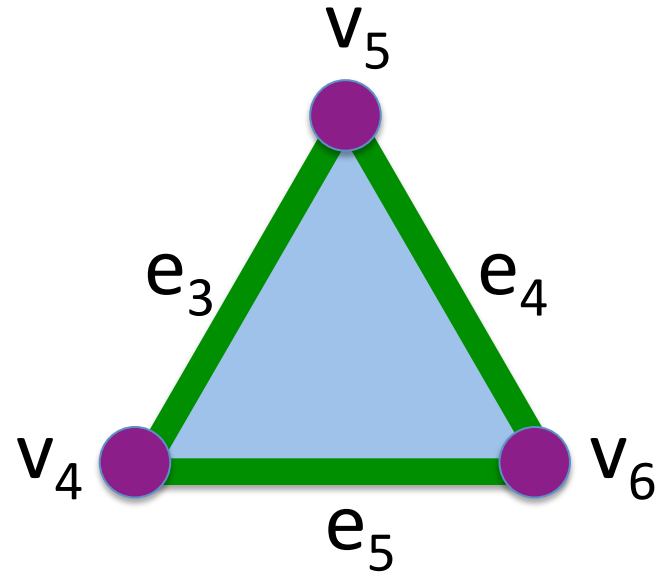
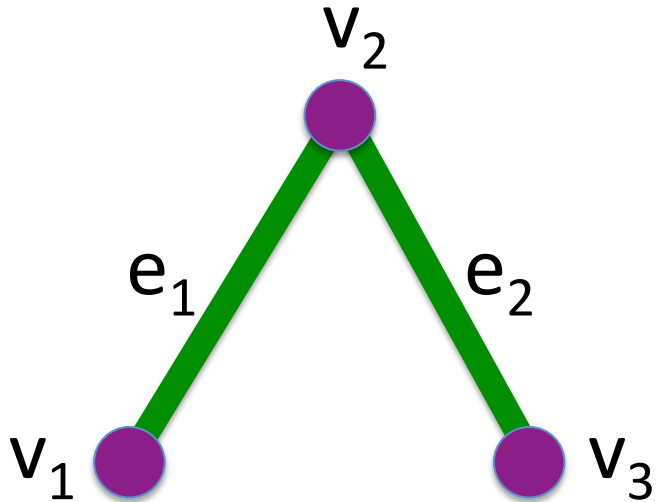
$$\partial(e_3) = v_4 + v_5$$

$$\partial(e_4) = v_5 + v_6$$

$$\partial(e_5) = v_4 + v_6$$

Extend linearly:

# Counting number of connected components using homology

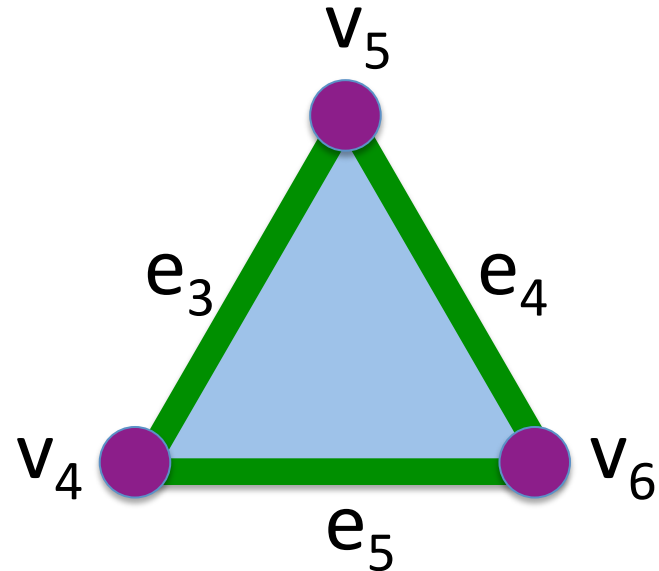
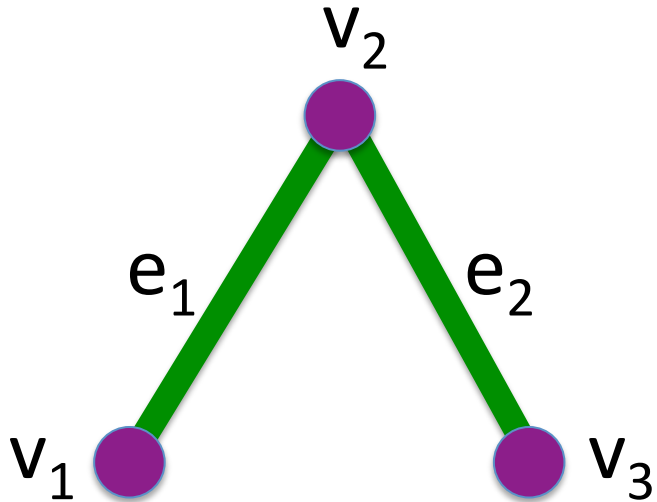


$$C_1 \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} 0$$

$$\begin{aligned} Z_0 &= \text{kernal of } \partial_0 \\ &= \{x : \partial_0(x) = 0\} \end{aligned}$$



# Counting number of connected components using homology



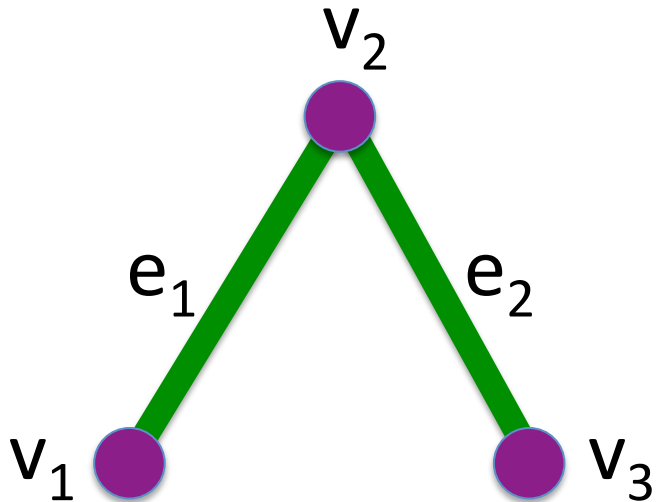
$$C_1 \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} 0$$

$$Z_0 = \text{kernal of } \partial_0$$

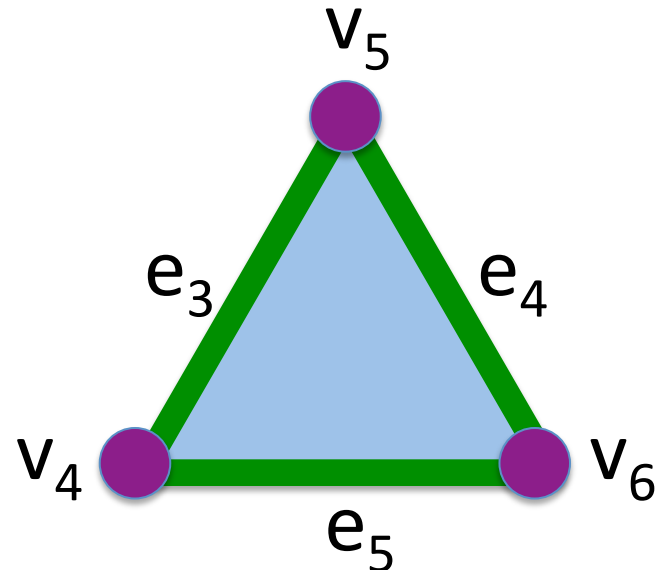
$$= \{x : \partial_0(x) = 0\}$$

$$= C_0 = \mathbf{Z}_2[v_1, v_2, v_3, v_4, v_5, v_6]$$

# Counting number of connected components using homology



$$C_1 \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} 0 \quad \partial$$



$$\partial(e_1) = v_1 + v_2$$

$$\partial(e_2) = v_2 + v_3$$

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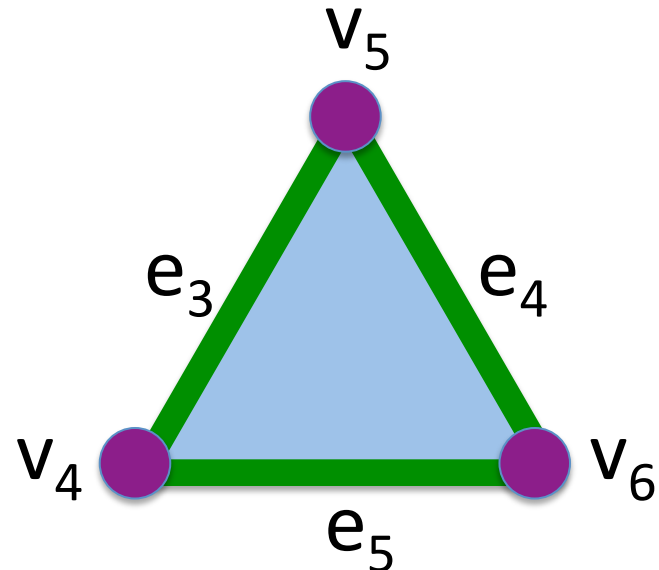
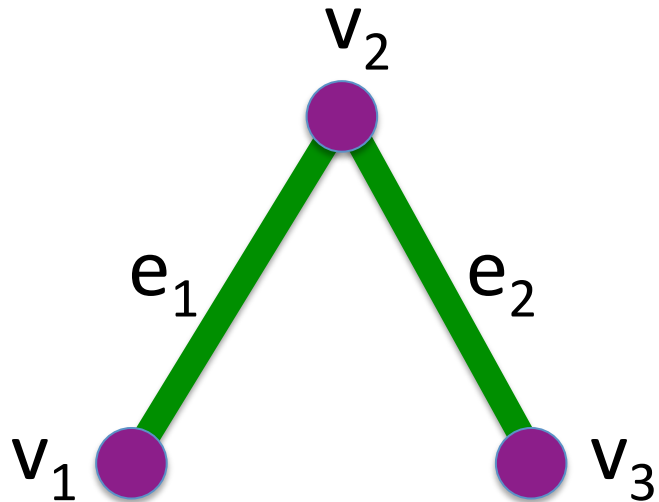
$$\partial(e_4) = v_5 + v_6$$

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$$Z_0 = \mathbf{Z}_2[v_1, v_2, v_3, v_4, v_5, v_6]$$

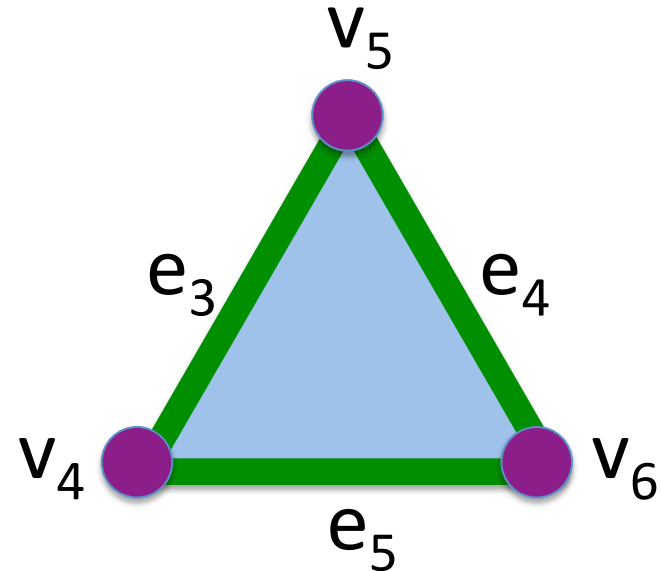
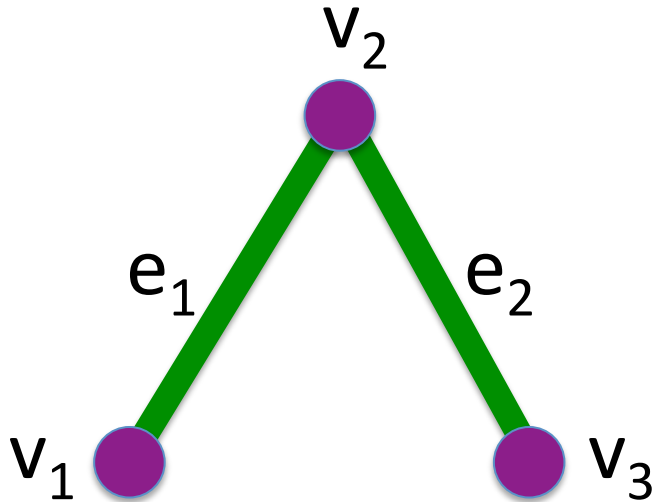
$$B_0 = \text{image of } \partial_1$$

# Counting number of connected components using homology



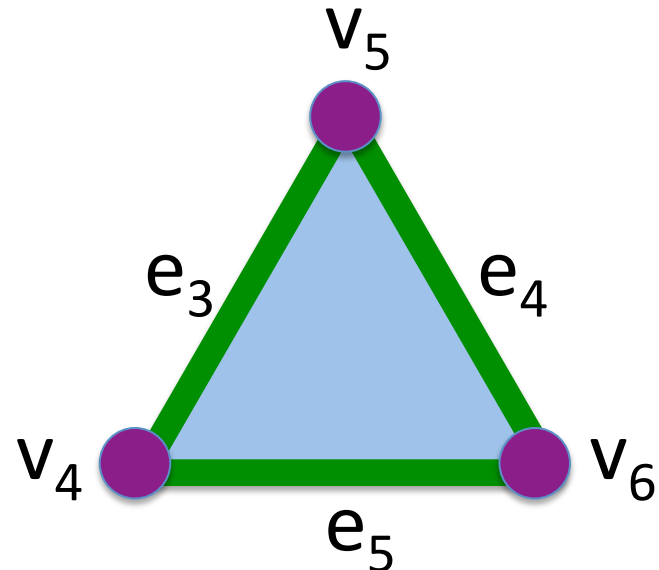
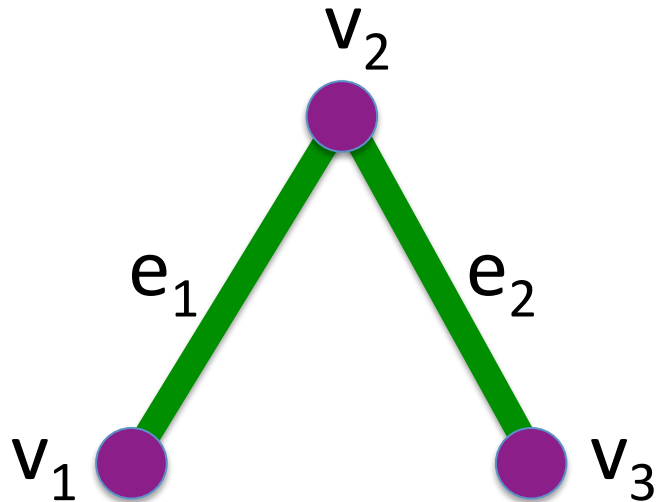
$$H_0 = Z_0/B_0 = \langle v_1, v_2, v_3, v_4, v_5, v_6 : v_1 + v_2 = 0, \\ v_2 + v_3 = 0, \\ v_4 + v_5 = 0, \\ v_5 + v_6 = 0, \\ v_4 + v_6 = 0 \rangle$$

# Counting number of connected components using homology



$$Z_0/B_0 = \langle v_1, v_2, v_3, v_4, v_5, v_6 : v_1 + v_2 = 0, v_2 + v_3 = 0, \\ v_4 + v_5 = 0, v_5 + v_6 = 0, v_4 + v_6 = 0 \rangle$$

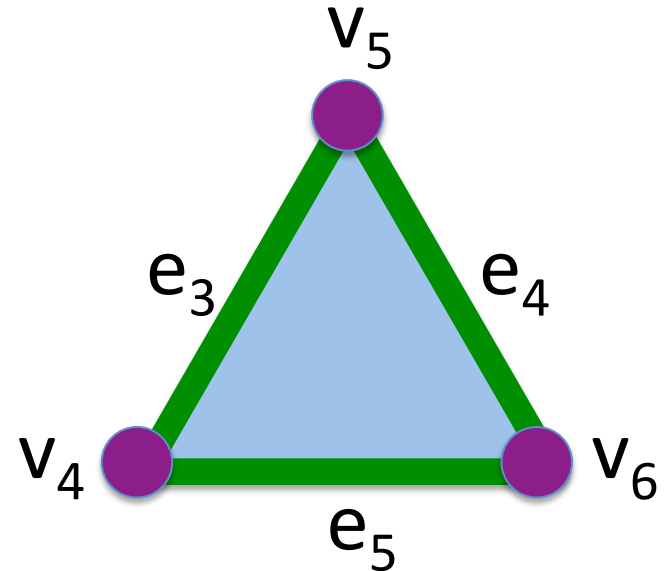
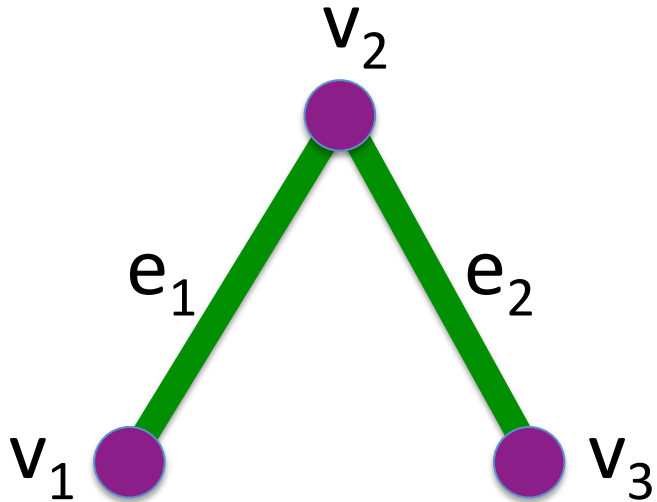
# Counting number of connected components using homology



$$Z_0/B_0 = \langle v_1, v_2, v_3, v_4, v_5, v_6 : v_1 + v_2 = 0, v_2 + v_3 = 0, \\ v_4 + v_5 = 0, v_5 + v_6 = 0, v_4 + v_6 = 0 \rangle$$

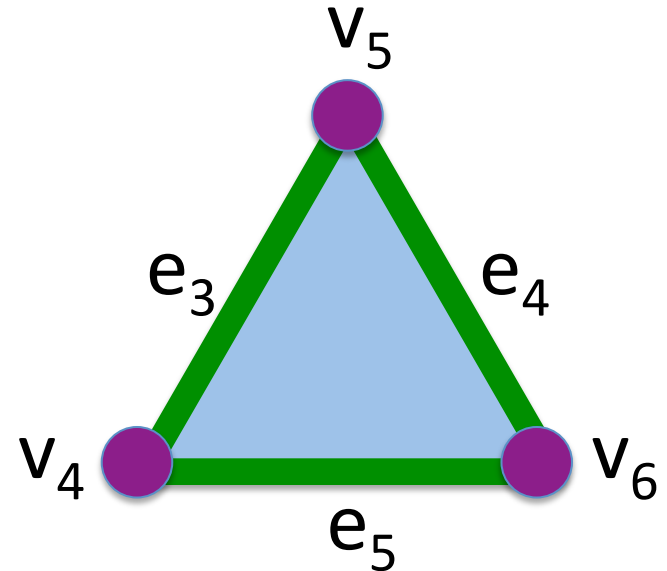
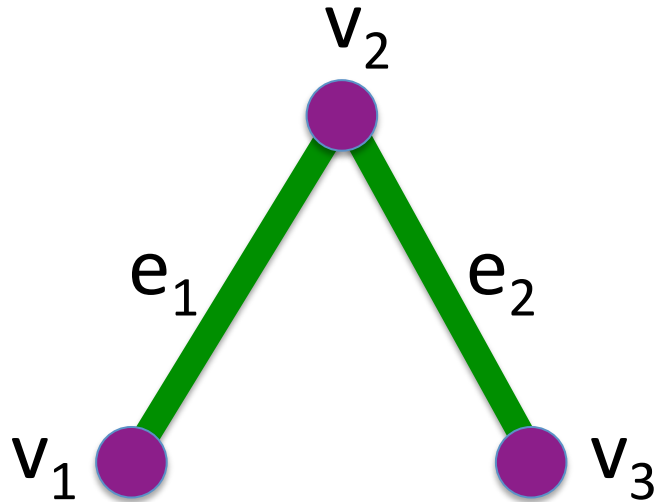
$$= \langle v_1, v_2, v_3, v_4, v_5, v_6 : v_1 + v_2, v_2 + v_3, v_4 + v_5, v_5 + v_6, \\ v_4 + v_6 \rangle$$

# Counting number of connected components using homology



$$Z_0/B_0 = \langle v_1, v_2, v_3, v_4, v_5, v_6 : v_1 + v_2 = 0, v_2 + v_3 = 0, \\ v_4 + v_5 = 0, v_5 + v_6 = 0, v_4 + v_6 = 0 \rangle$$

# Counting number of connected components using homology

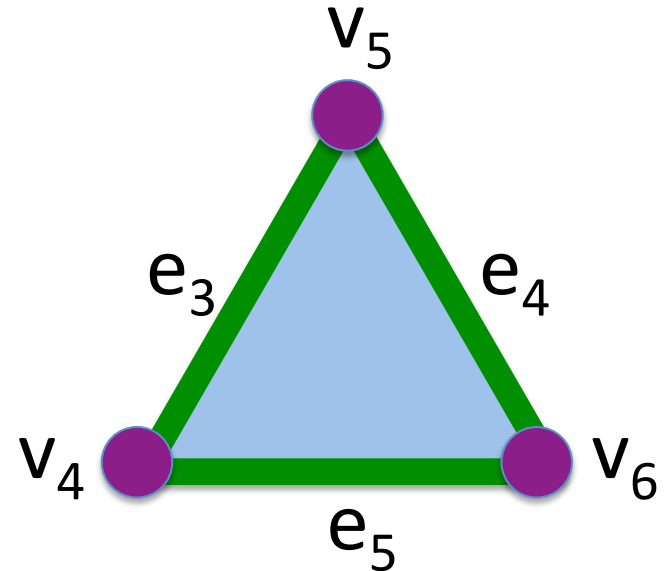
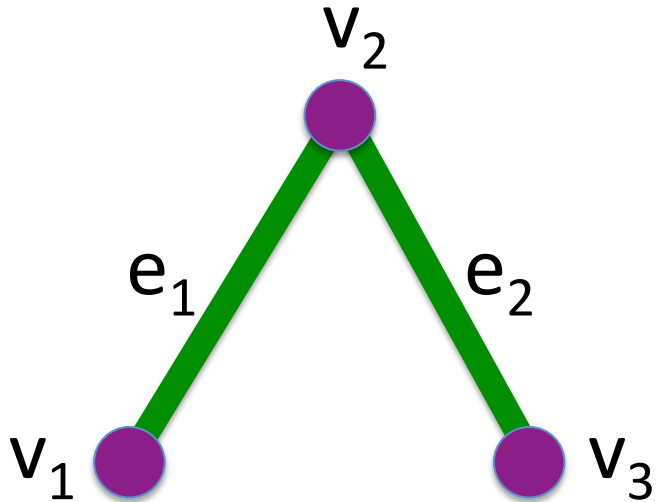


$$Z_0/B_0 = \langle v_1, v_2, v_3, v_4, v_5, v_6 : v_1 + v_2 = 0, v_2 + v_3 = 0, \\ v_4 + v_5 = 0, v_5 + v_6 = 0, v_4 + v_6 = 0 \rangle$$

$$v_1 + v_2 = 0 \text{ implies } v_1 = v_2$$

$$Z_0/B_0 = \langle v_1, v_3, v_4, v_5, v_6 : v_2 + v_3 = 0, v_4 + v_5 = 0, \\ v_5 + v_6 = 0, v_4 + v_6 = 0 \rangle$$

# Counting number of connected components using homology

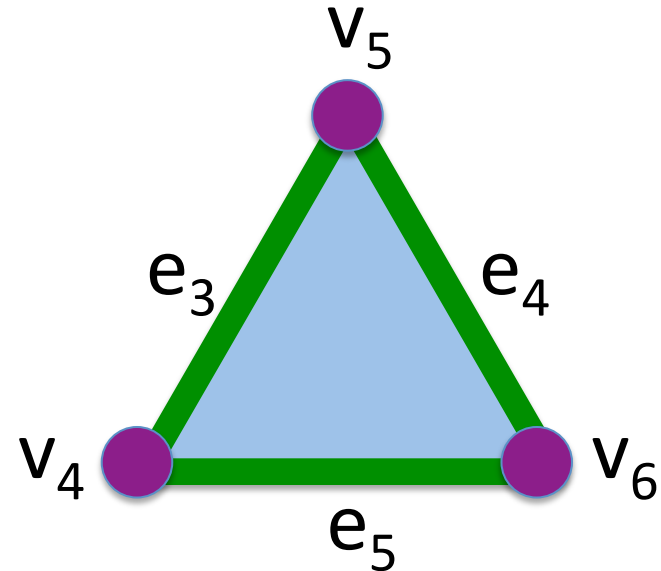
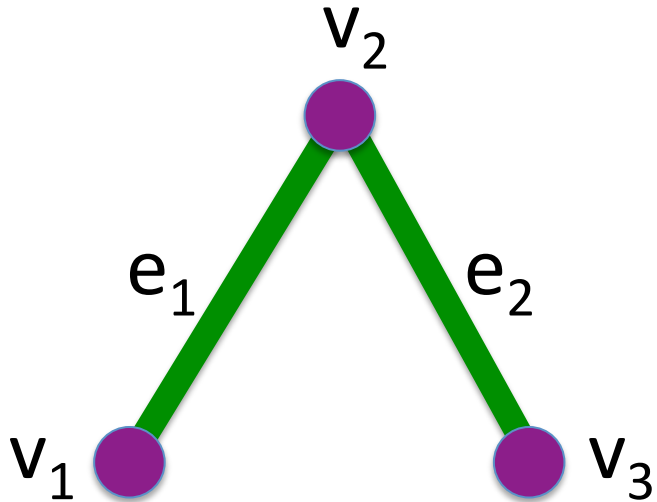


$$Z_0/B_0 = \langle v_1, v_3, v_4, v_5, v_6 : v_2 + v_3 = 0, v_4 + v_5 = 0, \\ v_5 + v_6 = 0, v_4 + v_6 = 0 \rangle$$

$$Z_0/B_0 = \langle v_1, v_4 \rangle$$



# Counting number of connected components using homology



$$Z_0/B_0 = \langle v_1, v_2, v_3, v_4, v_5, v_6 : v_1 + v_2 = 0, v_2 + v_3 = 0, \\ v_4 + v_5 = 0, v_5 + v_6 = 0, v_4 + v_6 = 0 \rangle$$

$$Z_0/B_0 = \langle [v_1], [v_4] \rangle \text{ where } [v_1] = \{v_1, v_2, v_3\} \\ \text{and } [v_4] = \{v_4, v_5, v_6\}$$

# Counting number of connected components using homology

$$Z_0/B_0 = \langle v_1, v_2, v_3, v_4, v_5, v_6 : v_1 + v_2 = 0, v_2 + v_3 = 0, \\ v_4 + v_5 = 0, v_5 + v_6 = 0, v_4 + v_6 = 0 \rangle$$

Use matrices: