

# Prime Spectra of 2-Categories

Joint work with Milen Yakimov

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November 19, 2016

# Overview

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theory

The prime  
spectra

Applications  
to Richardson  
varieties

**1** Category theory

**2** The prime spectra

**3** Applications to Richardson varieties

# 2-Categories

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## Definition

A **2-category** is a category enriched over the category of small categories.

So a 2-category  $\mathcal{T}$  has:

- Objects, denoted by  $A_1, A_2$  etc;
- 1-morphisms between objects, denoted  $f, g, h$ , etc; set of 1-morphisms from  $A_1$  to  $A_2$  denoted  $\mathcal{T}(A_1, A_2)$ ;
- 2-morphisms between 1-morphisms, denoted  $\alpha, \beta, \gamma$ , etc; set of 2-morphisms from  $f$  to  $g$  denoted  $\mathcal{T}(f, g)$ .

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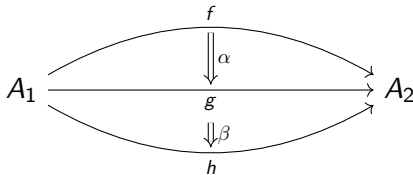
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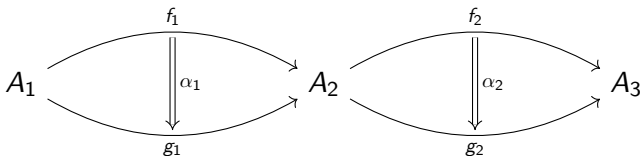
Composition of 1-morphisms:

$$A_1 \xrightarrow{f} A_2 \xrightarrow{g} A_3.$$

Vertical composition of 2-morphisms  $\alpha \circ \beta$ :

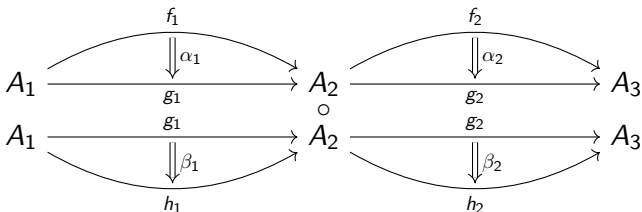
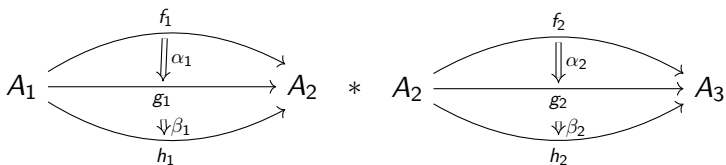


Horizontal composition of 2-morphisms  $\alpha_2 * \alpha_1$ :



# 2-Categories

$$(\alpha_1 \circ \beta_1) * (\alpha_2 \circ \beta_2) = (\alpha_1 * \alpha_2) \circ (\beta_1 * \beta_2):$$



# Exact categories

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## Definition

A 1-category is called **exact** if:

- *It is additive;*
- *It has a set of distinguished short exact sequences*

$$A_1 \rightarrow A_2 \rightarrow A_3$$

*that obey some axioms.*

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Some exact 1-categories:

- An additive category with short exact sequences defined by

$$A_1 \rightarrow A_1 \oplus A_3 \rightarrow A_3;$$

- Abelian categories with traditional short exact sequences ( $\ker g \cong \operatorname{im} f$ );
- Full subcategories of abelian categories closed under extension.

## Definition

A 2-category  $\mathcal{T}$  is **exact** if each set  $\mathcal{T}(A, B)$  is itself an exact 1-category.

# Grothendieck group

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## Definition

Suppose  $\mathcal{C}$  is an exact 1-category. Then the **Grothendieck group** of  $\mathcal{C}$ , denoted  $K_0(\mathcal{C})$ , is defined by:

- Take the free abelian group on objects of  $\mathcal{C}$ ;
- For every exact sequence

$$0 \rightarrow A_1 \rightarrow A_2 \rightarrow A_3 \rightarrow 0,$$

quotient by the relation  $[A_1] + [A_3] = [A_2]$ .



# Grothendieck group

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## Definition

Suppose  $\mathcal{T}$  is an exact 2-category. Then the **Grothendieck group** of  $\mathcal{T}$ , denoted  $K_0(\mathcal{T})$  is defined as the 1-category with:

- Objects the same as  $\mathcal{T}$ ;
- Set of morphisms from  $X$  to  $Y$  given by  $K_0(\mathcal{T}(X, Y))$ , the Grothendieck group of the 1-category  $\mathcal{T}(X, Y)$ .
- Composition of morphisms induced from composition of morphisms in  $\mathcal{T}$ .

# Positive part of the Grothendieck group

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## Definition

*The **positive part of the Grothendieck group** of an exact 1-category  $\mathcal{C}$ , denoted  $K_0(\mathcal{C})_+$ , is defined as the subset of  $K_0(\mathcal{C})$  forming a monoid under addition generated by the indecomposable objects.*

In other words, while the Grothendieck group has all elements of the form

$$\sum_i \lambda_i [b_i], \lambda_i \in \mathbb{Z},$$

the positive part of the Grothendieck group has elements of the form

$$\sum_i \lambda_i [b_i], \lambda_i \in \mathbb{N}.$$

# Positive part of the Grothendieck group

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## Definition

*The **positive part of the Grothendieck group** of an exact 2-category  $\mathcal{T}$ , denoted  $K_0(\mathcal{T})_+$ , has the same objects as  $\mathcal{T}$ , with hom spaces  $K_0(\mathcal{T})_+(X, Y)$  defined by  $K_0(\mathcal{T}(X, Y))_+$ .*

# Strong categorification

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- Let  $A$  an algebra with orthogonal idempotents  $e_i$  with  $1 = e_1 + e_2 + \dots + e_n$ .
- $A = \bigoplus e_i A e_j$ .
- Consider  $A$  as a category: an object for each  $e_i$ , set of morphisms from  $i$  to  $j$  given by  $e_i A e_j$ .
- Composition of morphisms given by multiplication.

# Strong categorification

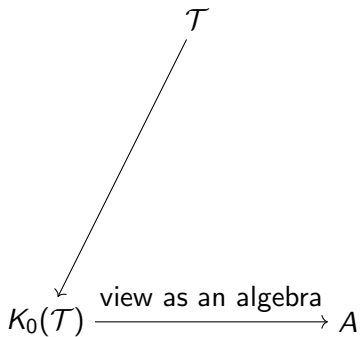
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# Strong categorification

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## Definition

*We call  $B_+$  a  $\mathbb{Z}_+$ -ring if  $B_+$  has a basis (as a monoid)  $\{b_i\}$  with relations  $b_i b_j = \sum m_{i,j}^k b_k$  where all coefficients are positive. Elements are all positive linear combinations of basis elements, multiplication is extended from basis elements.*

So we can view Grothendieck groups of 2-categories as  $\mathbb{Z}$ -algebras, and positive Grothendieck groups as  $\mathbb{Z}_+$ -rings.

# Ideals

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## Definition

Let  $\mathcal{T}$  be an exact 2-category where composition of 1-morphisms is an exact bifunctor. We call  $\mathcal{I}$  a **thick ideal** of  $\mathcal{T}$  if:

- $\mathcal{I}$  is a full subcategory of  $\mathcal{T}$  such that if in  $\mathcal{T}(X, Y)$  we have an exact sequence of 1-morphisms

$$0 \rightarrow f_1 \rightarrow f_2 \rightarrow f_3 \rightarrow 0,$$

then  $f_2$  is in  $\mathcal{I}$  if and only if  $f_1$  and  $f_3$  are in  $\mathcal{I}$ ;

- $\mathcal{I}$  is an ideal: if  $f \in \mathcal{T}(X, Y)$  is in  $\mathcal{I}$  and  $g \in \mathcal{T}(Y, Z)$  then  $g \circ f \in \mathcal{I}$ ; and if  $h \in \mathcal{T}(W, X)$  then  $f \circ h \in \mathcal{I}$ .

# Ideals

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## Definition

Suppose  $\mathcal{M}$  is any subset of 1-morphisms and 2-morphisms of a 2-category  $\mathcal{T}$ . Then we define the **thick ideal generated by  $\mathcal{M}$** , denoted  $\langle \mathcal{M} \rangle$ , to be the smallest thick ideal that contains  $\mathcal{M}$ , which is the intersection of all thick ideals containing  $\mathcal{M}$ .

## Definition

Suppose  $B_+$  is a  $\mathbb{Z}_+$ -ring. Then  $I \subset B_+$  is a **thick ideal** if  $a + b$  is in  $I$  if and only if  $a$  and  $b$  are in  $I$ , and we also have that if  $i$  is in  $I$ , then  $ai$  and  $ia$  are in  $I$  for every  $a \in B_+$ .



# Prime and completely prime ideals

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## Definition

We call  $\mathcal{P}$  a **prime** of  $\mathcal{T}$  if  $\mathcal{P}$  is a thick ideal of  $\mathcal{T}$  such that if  $\mathcal{I}$  and  $\mathcal{J}$  are thick ideals in  $\mathcal{T}$ , then if  $\mathcal{I} \circ \mathcal{J} \subset \mathcal{P}$ , then either  $\mathcal{I} \subset \mathcal{P}$  or  $\mathcal{J} \subset \mathcal{P}$ . We call  $\mathcal{I}$  **completely prime** if it is a thick ideal such that  $f \circ g \in \mathcal{I}$  implies either  $f \in \mathcal{I}$  or  $g \in \mathcal{I}$ .

## Definition

The set of all primes  $\mathcal{P}$  of a 2-category  $\mathcal{T}$  is called the **spectrum of  $\mathcal{T}$**  and is denoted  $\text{Spec}(\mathcal{T})$ .

# Prime and completely prime ideals

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## Definition

*Suppose  $B_+$  is a  $\mathbb{Z}_+$ -ring. Then we call  $P$  a **prime** if  $P$  is a thick ideal, and  $IJ \subset P$  implies  $I$  or  $J$  is in  $P$  for all thick ideals  $I$  and  $J$ .*

# General results

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We obtain many results with respect to  $\text{Spec}(\mathcal{T})$  that correspond to the prime spectra of noncommutative rings.

## Theorem

*A thick ideal  $\mathcal{P}$  is prime if and only if: for all 1-morphisms  $m, n$  of  $\mathcal{T}$  with  $m \circ \mathcal{T} \circ n \in \mathcal{P}$ , either  $m \in \mathcal{P}$  or  $n \in \mathcal{P}$ .*

This corresponds to the result in the classical theory:

## Theorem

*An ideal  $P$  of a ring  $R$  is prime if and only if: for all  $x, y \in R$ , if  $xRy \subset P$  then  $x$  or  $y$  is in  $P$ .*

# General results

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## Theorem

*A thick ideal  $\mathcal{P}$  is prime if and only if: for all thick ideals  $\mathcal{I}, \mathcal{J}$  properly containing  $\mathcal{P}$ , we have that  $\mathcal{I} \circ \mathcal{J} \not\subset \mathcal{P}$ .*

## Theorem

*Every maximal thick ideal is prime.*

## Theorem

*The spectrum of an exact 2-category  $\mathcal{T}$  is nonempty.*

# Relationship between the spectra

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## Lemma

*There is a bijection between  $\text{Spec}(\mathcal{T})$  and  $\text{Spec}(K_0(\mathcal{T})_+)$ .*

Let  $\mathcal{T}$  be a categorification of  $A$ . Consider the map  $\phi : \text{Spec}(K_0(\mathcal{T})_+) \rightarrow \text{Ideals}(K_0(\mathcal{T})) = A$  defined by  $\phi(P) = \{x - y : x, y \in P\}$ .

## Lemma

*In general,  $\phi$  is not a map  $\text{Spec}(K_0(\mathcal{T})_+) \rightarrow \text{Spec}(K_0(\mathcal{T}))$ .*

Example: let  $H$  be a Hopf algebra,  $\mathcal{T}$  be the category of finitely generated  $H$ -modules. Then  $\{0\}$  is completely prime in  $K_0(\mathcal{T})_+$  but not in  $K_0(\mathcal{T})$ .

# Relationship between the spectra

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$$\begin{array}{ccc} & \text{Spec}(\mathcal{T}) & \\ & \nearrow & \\ \text{Spec}(K_0(\mathcal{T})_+) & \xrightarrow{\phi} & \text{Ideals}(K_0(\mathcal{T})) \end{array}$$

## Lemma

*Let  $\mathcal{T}$  be a categorification of  $A$ . If  $\phi(P)$  is a prime in  $K_0(\mathcal{T})$ , and  $\mathcal{P}$  is the prime in  $\mathcal{T}$  corresponding to  $P$ , then  $A/\phi(P)$  is categorified by the Serre quotient  $\mathcal{T}/\mathcal{P}$ .*

# Coordinate rings of Richardson varieties

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## Definition

Suppose  $G$  is a connected simple Lie group,  $B_{\pm}$  opposite Borel subgroups, and  $W$  the Weyl group. Then the **Richardson variety** of  $u$  and  $w \in W$  is

$$R_{u,w} = B_- \cdot uB_+ \cap B_+ \cdot wB_+ \subset G/B_+.$$

Individually,  $B_- \cdot uB_+$  and  $B_+ \cdot wB_+$  are called **Schubert cells**.

# Coordinate rings of Richardson varieties

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## Theorem (Yakimov)

$$G/B_+ = \bigsqcup_{\substack{u \leq w \\ u, w \in W}} R_{u,w}.$$

Applications of Richardson varieties:

- Representation theory (Richardson, Kazhdan, Lusztig, Postnikov);
- Total positivity (Lusztig);
- Poisson geometry (Brown, Goodearl, and Yakimov);
- Algebraic geometry (Knutson, Lam, Speyer);
- Cluster algebras (Leclerc).



# Coordinate rings of Richardson varieties

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We restrict to  $u = 1$  for simplicity.

Let  $U_q(\mathfrak{n}_+)$  denote the subset of  $U_q(\mathfrak{g})$  generated by the  $E_i$  Chevalley generators.

## Theorem (Yakimov)

*If  $T$  is a maximal torus of  $G$ , then  $T$  acts on  $U_q(\mathfrak{n}_+)$  via an algebra automorphism. The  $T$ -invariant prime ideals are parametrized by elements of  $W$ .*

## Theorem (Yakimov)

*$U_q(\mathfrak{n}_+)/I_w$  is a quantization of the coordinate ring  $\mathbb{C}[R_{1,w}]$ .*

# Coordinate rings of Richardson varieties

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We want to produce a categorification of  $U_q(\mathfrak{n}_+)/I_w$ .

**Theorem (Khovanov and Lauda)**

*There exists a categorification  $\mathcal{U}^+$  of  $U_q(\mathfrak{n}_+)$  that is a tensor category of modules of KLR-algebras.*

# Current work

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$$\begin{array}{ccc} & \text{Spec}(\mathcal{U}^+) & \\ & \nearrow & \\ \text{Spec}(U_q(\mathfrak{n}_+)_+) & \xrightarrow{\phi} & \text{Ideals}(U_q(\mathfrak{n}_+)) \end{array}$$

We are currently working on showing that  $I_w$  is a prime in  $\text{Spec}(U_q(\mathfrak{n}_+))$  corresponding to a prime in  $\text{Spec}(U_q(\mathfrak{n}_+)_+)$ . Then if  $\mathcal{I}_w$  is the prime in  $\text{Spec}(\mathcal{U}^+)$  corresponding to  $I_w$ , then

$$\mathcal{U}^+ / \mathcal{I}_w$$

will categorify quantization of the coordinate ring of the Richardson variety.

# Conclusion

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Thanks for listening!